

82 - 2148

Office - Supreme Court, U.S.

FILED

JUN 27 1983

AMERICAN CIVIL LIBERTIES FOUNDATION OF TEXAS.

CLERK

IN THE  
**Supreme Court of the United States**

OCTOBER TERM, 1982

JANET WHISENHUNT and STANLEY WHISENHUNT,

*Petitioners,*

—v.—

LEE SPRADLIN, et al.,

*Respondents.*

**PETITION FOR A WRIT OF CERTIORARI TO THE  
UNITED STATES COURT OF APPEALS  
FOR THE FIFTH CIRCUIT**

JAMES C. HARRINGTON,  
*Counsel of Record*  
American Civil Liberties  
Foundation of Texas, Inc.  
600 West 7th Street  
Austin, Texas 78701  
(512) 477-5849

SELDEN B. HALE  
310 West 6th Street  
Amarillo, Texas 79101  
(806) 372-5711

LEON FRIEDMAN  
Hofstra Law School  
Hempstead, New York 11550  
(516) 560-5889

CHARLES S. SIMS  
BURT NEUBORNE  
American Civil Liberties Union  
Foundation  
132 West 43rd Street  
New York, New York 10036  
(212) 944-9800

## QUESTIONS PRESENTED

1. May a City discipline and demote police officers for dating and occasionally cohabiting off-duty without violating the officers' constitutional privacy rights, if the officers' conduct does not interfere at all with their work and does not violate any state law?
2. Does a City violate the Due Process Clause if it disciplines and demotes a police sergeant with a protected property interest in employment for dating and occasionally cohabiting off-duty with a female officer after the sergeant had advised and received permission from his supervisor to do so, particularly in view of the fact that such dating was common on the police force and no other officer had ever been disciplined for such conduct?
3. Does a City violate the procedural due process rights of its police by not giving adequate notice of what its rules and

regulations mean as far as off-duty sexual conduct is concerned through the issuing of vague and overbroad rules which his superiors told him did not cover his activity?

## TABLE OF CONTENTS

	<u>Page</u>
QUESTIONS PRESENTED.....	i
TABLE OF AUTHORITIES.....	iv
THE PARTIES.....	1
OPINION BELOW.....	1
JURISDICTION.....	2
STATUTES INVOLVED.....	2
STATEMENT OF THE CASE.....	3
REASONS FOR GRANTING THE WRIT.....	11
I. THE SCOPE OF THE RIGHT TO PRIVACY INVOLVING NON-MARITAL SEXUAL RELATIONSHIPS OF GOVERN- MENT EMPLOYEES IS UNCLEAR AND REQUIRES CLARIFICATION.....	11
II. RESPONDENTS DENIED PETITIONERS PROCEDURAL DUE PROCESS BY DISCIPLINING THEM FOR CONDUCT THAT THEY COULD NOT HAVE KNOWN WAS PROHIBITED AND FOR WHICH PETITIONERS WHISENHUNT HAD RECEIVED HIS SUPERVISOR'S APPROVAL.....	17
CONCLUSION.....	24



# TABLE OF AUTHORITIES

<u>Cases:</u>	<u>Page</u>
Baron v. Meloni, 556 F. Supp. 796 (W.D.N.Y. 1983).....	14
Bence v. Breier, 501 F.2d 1185 (7th Cir. 1974).....	19
Bonet v. United States Postal Service, 661 F.2d 1071 (5th Cir. 1981).....	13
Briggs v. North Muskegon Police Department, 51 U.S.L.W. 2706 (W.D.Mich. 1983).....	5, 14
Brown v. Bathke, 416 F. Supp. 1194 (D.Del. 1976).....	16
Carey v. Population Services Inter- national, 431 U.S. 678 (1977)....	11, 12
Cleveland Board of Education v. LaFleur, 414 U.S. 636 (1974).....	12
Coates v. Cincinnati, 402 U.S. 611 (1971).....	19
Connally v. General Construction Co., 269 U.S. 385 (1926).....	19
Corwin v. Ellenville, 69 App. Div.2d 415 N.Y.S.2d 299 (3d Dept. 1979)....	14
Cox v. Louisiana, 379 U.S. 559 (1965).....	18, 19, 20
Davis v. Williams, 617 F.2d 1100 (5th Cir. 1980).....	19
Doe v. Commonwealth Attorney, 425 U.S. 901 (1970).....	3

	<u>Page</u>
Eisenstadt v. Baird, 405 U.S. 438 (1972) .....	11, 12
Fabio v. Civil Service Commission of City of Philadelphia, 414 A.2d 82 (1980) .....	14
Fisher v. Snyder, 346 F. Supp. 396 (D.Neb. 1972) .....	4
Griswold v. Connecticut, 381 U.S. 479 (1965) .....	11
Hampton v. Mow Sun Wong, 426 U.S. 28 (1976) .....	23
Hollenbaugh v. Carnegie Free Library, 439 U.S. 1052 (1978) .....	4, 11
Hynes v. Borough of Oradell, 425 U.S. 610 (1976) .....	19
Johnson v. San Jacinto Junior College, 498 F. Supp. 555 (S.D. Tex. 1980) .....	15
Kent v. Dulles, 357 U.S. 116 (1958) ....	23
Kolendar v. Lawson, 51 U.S.L.W. 4532 (U.S. May 2, 1983) .....	19, 22
Lewis v. Delaware State College, 455 F. Supp. 239 (D.Del. 1978) .....	15
Louisi v. Slaton, 539 F.2d 349 (4th Cir.), <u>cert. denied</u> , 429 U.S. 977 (1976) .....	3
Loving v. Virginia, 388 U.S. 1 (1967) ..	12

Major v. Hampton, 413 F. Supp. 66 (M.D.La. 1976).....	13
Meyer v. Nebraska, 262 U.S. 390 (1923).....	12
Moore v. City of East Cleveland, 431 U.S. 494 (1973).....	12
Papachristou v. Jacksonville, 405 U.S. 156 (1972).....	19
Pierce v. Society of Sisters, 268 U.S. 510 (1925).....	12
Prince v. Massachusetts, 321 U.S. 158 (1944).....	12
Roe v. Wade, 410 U.S. 113 (1973)...	11, 12
Shuman v. City of Philadelphia, 470 F. Supp. 449 (E.D.Pa. 1979).....	5, 14
Skinner v. Oklahoma ex rel, Williamson, 316 U.S. 535 (1942).....	12
Smith v. Price, 446 F. Supp. 828 (M.D.Ga. 1977), <u>rev'd on other</u> <u>grounds</u> , 616 F.2d 1371 (5th Cir. 1980).....	4, 14
Suddarth v. Slane, 539 F. Supp. 612 (W.D.Va. 1982).....	14
Swope v. Bratton, 541 F. Supp. 99 (W.D.Ark. 1982).....	5, 14
Thompson v. Southwest School District, 483 F. Supp. 1170 (W.D.Mo. 1980).....	15
Zablocki v. Redhail, 434 U.S. 374 (1978).....	12

<u>Other Authorities:</u>	<u>Page</u>
42 U.S.C. § 1983.....	8
General Rules, Rules and Regulations of the Amarillo Police Department	
Section 113, Part 8.....	passim
Section 123.....	passim
Personnel Rules of the City of Amarillo	
Rule XIX, Section 108.....	passim
U.S. Department of Commerce, Bureau of the Census, Current Population Report, P-20, No. 377, "Marital Status and Living Arrangements", March 1981, Table VII.....	4

### THE PARTIES

The petitioners in this proceeding are Janet Shawgo (now Janet Whisenhunt) and Stanley Whisenhunt. Respondents are Lee Spradlin, former Chief of Police, Amarillo, Texas (now Chief of Police in McAllen, Texas); Claudette Landess, Chairperson, Civil Service Commission, City of Amarillo, Texas; Robert Bauman, Civil Service Commissioner, City of Amarillo; William W. Neilsen, Civil Service Commissioner, City of Amarillo; and the City of Amarillo.

### OPINION BELOW

The opinion of the United States Court of Appeals for the Fifth Circuit is reported at 701 F.2d 470 (5th Cir. 1983) and is set forth in Petitioners' Appendix infra at A-1 through A-52. The unreported opinion for the United States District Court for the Northern District of Texas is set forth infra at A-53.

## JURISDICTION

Jurisdiction is conferred on this Court by 28 U.S.C. § 1254(1) to review an order of the Court of Appeals entered on March 28, 1983. A-53.

## STATUTES INVOLVED

General Rules, Rules and Regulations of the Amarillo Police Department:

Section 113, Part 8: No member shall engage in any personal conduct or act which, if brought to the attention of the public, could result in justified unfavorable criticism of that member or the department. No member be [sic] involved personally, in disturbances or police incidents to his discredit.

Section 123: Any member or employee of this department whose duties and responsibilities are not otherwise specifically prescribed in these rules and regulations shall be faithful in attendance to, diligent and competent in the performance of such duties as are indicated by the title by which he is assigned.

## STATEMENT OF THE CASE

This case involves a recurring, and often misunderstood, question going to the heart of this Court's substantive due process jurisprudence: can a state punish its public employees for engaging in lawful, non-marital sexual relationships<sup>1</sup> without substantial proof that this activity adversely affects their performance in their jobs? The question is recurring (as the many cases discussed below indicate) because 15% of the working force in the United States is employed by federal, state or local governments and because in 1981 over 2 million adult Americans were living with members of the opposite sex without being

---

1. This case does not involve privacy rights of homosexuals (see Doe v. Commonwealth Attorney, 425 U.S. 901 (1970) or the sexual rights of married couples who invite third parties into their bedroom. See Louisi v. Slaton, 539 F.2d 349 (4th Cir.), cert. denied, 429 U.S. 977 (1976).

married.<sup>2</sup> The question is misunderstood or confusing since lower courts do not know whether to analyze the problem as a First Amendment right of association problem, see Smith v. Price, 446 F. Supp. 828, 833 (M.D. Ga. 1977), rev'd on other grounds, 616 F.2d 1371 (5th Cir. 1980) (policeman has First Amendment right to have extra-marital affair); Fisher v. Snyder, 346 F. Supp. 396 (D.Neb. 1972) (teacher has First Amendment right to associate with male friends who stayed in her apartment), as an equal protection clause problem, see Hallenbaugh v. Carnegie Free Library, 436 F. Supp. 1328 (W.D.Pa. 1977), aff'd 578 F.2d 1374 (3d Cir. 1978), cert. den., 439 U.S. 1052 (1978) (Marshall, J., dissenting) (employer can fire librarian engaged in adulterous affair without denying equal protection); or as a

---

2. U.S. Department of Commerce, Bureau of the Census, Current Population Report, P-20, No. 377, "Marital Status and Living Arrangements," March 1981, Table VII.



"zone of privacy" problem, see Shuman v. City of Philadelphia, 470 F. Supp. 449, 459 (E.D.Pa. 1979) (policeman has constitutional right to resist questions about nonmarital affair); Briggs v. North Muskegon Police Dept., No. 680-96CA6 (W.D.Mich. May 5, 1983) (slip opinion) (policeman could not be fired for having adulterous affair); Swope v. Bratton, 541 F. Supp. 99 (W.D.Ark. 1982) (policeman cannot be disciplined for engaging in private sexual relationship).

Petitioner Janet Shawgo (now Janet Whisenhunt) and Stanley Whisenhunt were employed by the Amarillo Police Department. The former was a patrolwoman, the latter a sergeant who had been with the force for eleven years. They worked different shifts, and Shawgo was not under Whisenhunt's supervision. They began dating and their relationship became more serious. Sergeant Whisenhunt informed his lieutenant that he and Shawgo would probably be spending the

night together. The lieutenant said that would be fine but he "didn't want the two of them setting up housekeeping." 701 F.2d at 472.

When the Chief of Police (defendant Spradlin) heard rumors within the police department that the petitioners might be living together, he ordered surveillance of their activities during their off-duty hours. Shawgo was observed entering and leaving Whisenhunt's residence. The Chief then recommended that the plaintiffs be disciplined for violating the General Rules of the Rules and Regulations of the Amarillo Police Department. Shawgo was suspended for 12 days without pay after notification that her "cohabitation" violated Section 113, Part 8 which prohibited conduct that "if brought to the attention of the public could result in justified unfavorable criticism of that member or the department." Whisenhunt was also suspended for 12 days and demoted

from sergeant to patrolman for violating the same section<sup>3</sup> and two others: Section 123 requiring "diligent and competent" performance of duties and Rule XIX, Section 108 of the Personnel Rules of the City of Amarillo, which proscribes "conduct prejudicial to good order."

Shawgo and Whisenhunt requested and received a hearing before the Civil Service Commission of Amarillo. The Commission refused to admit evidence of other known but unpunished instances of interforce dating, cohabitation and apartment sharing. "There was no evidence at the Commission hearing or at the district court that the plaintiffs did not adequately perform their duties while they were dating, that their

---

3. A second basis for the charges against the sergeant was the fact that he shared an apartment and expenses with another male police officer on the Amarillo force. He had received specific permission from his lieutenant to do so. 701 P.2d at 472.

conduct distracted from service to the public, or that they violated any state law," 701 F.2d at 473, and there was no charge, evidence, or finding that any members of the public knew of the officers' relationship. The Commission nevertheless upheld the sanctions. Both police officers subsequently resigned from the force because of unsatisfactory working conditions created by the sanctions imposed on them and publicity resulting from the hearing.

The plaintiffs then brought this action under 42 U.S.C. § 1983, contending that both their substantive and procedural due process rights had been violated. The district court found after trial that the 12 day suspension did not constitute any deprivation of property of the plaintiffs. It further found that the Amarillo rules were not impermissibly vague and that the Commission hearing was procedurally fair, despite the exclusion of evidence on dating habits of other officers.

The Court of Appeals for the Fifth Circuit affirmed. Contrary to the district court, it found that a protected property interest was at stake which required a showing of cause for the sergeant's demotion. 701 F.2d at 476. It found no violation of any constitutional right of privacy since the right is "not unqualified." 701 F.2d at 483. It also found that the city had a rational basis for its action:

In this case we do not attempt to outline all the contours of a police department's scope of regulation of the off-duty activities of its employees, for we can ascertain a rational connection between the exigencies of Department discipline and forbidding members of a quasi-military unit, especially those different in rank, to share an apartment or to cohabit.

701 F.2d at 483.

It also found no procedural violation since Whisenhunt was able to present his side of the case, and held that the admitted vagueness of the regulations was no bar to their application to the conduct for which

they had been disciplined.

At issue in the present case is a routine personnel decision, a demotion, for which Whisenhunt received a civil service commission hearing, with notice of the charged violations and the specified conduct for which he was to be disciplined. He was able to present at this hearing the compelling argument he now presents before this court-- that his supervisor expressly approved the behavior for which he was punished....

701 F.2d at 479. The Court of Appeals also held that the Commission's refusal to hear evidence on other police force dating did not violate any requirement of notice or due process:

These rulings may indeed have hindered Whisenhunt's presentation of the defense of selective discipline with respect to conduct that was a common practice in the Department. Nevertheless, we are unable to say that the Commission's rulings were arbitrary -- if indeed that issue is relevant to our determination -- for these rulings were based upon the Commission's reluctance to publicize the private lives of other police officers or to bring to light the alleged violations by other officers who had not been charged and who could not defend themselves.

REASONS FOR GRANTING THE WRIT

I. THE SCOPE OF THE RIGHT TO PRIVACY INVOLVING NON-MARITAL SEXUAL RELATIONSHIPS OF GOVERNMENT EMPLOYEES IS UNCLEAR AND REQUIRES CLARIFICATION.

Although this Court has never squarely faced the issue whether the constitutional right to privacy recognized in such cases as Griswold v. Connecticut, 381 U.S. 479 (1965); Roe v. Wade, 410 U.S. 113 (1973); Eisenstadt v. Baird, 405 U.S. 435 (1972); and Carey v. Population Services International, 431 U.S. 678 (1977) covers private, sexual conduct by heterosexual adults, not married to each other, which does not violate state law, both Eisenstadt and Carey, and the Ninth Amendment, strongly suggest that it does. Justice Marshall, dissenting from the denial of certiorari in Hollenbaugh v. Carnegie Free Library, 439 U.S. 1052 (1978), explained:

Although we have never demarcated the precise boundaries of this right, we have held that it broadly encompasses "freedom of personal choice in matters of marriage and family life." Cleveland Board of Education v. LaFleur, 414 U.S. 636, 639-640 (1974) (pregnancy). See, e.g., Loving v. Virginia, 388 U.S. 1, 12 (1967), and Zablocki v. Redhail, 434 U.S. 374, 383-385 (1978) (marriage); Skinner v. Oklahoma ex rel. Williamson, 316 U.S. 535, 541-542 (1942) (procreation); Eisenstadt v. Baird, 405 U.S. 438, 453-454; id. at 460, 463-465 (White, J., concurring in result), and Carey v. Population Services International, 431 U.S. 678, 684-685 (1977) (contraception); Prince v. Massachusetts, 321 U.S. 158, 166 (1944) (family relationships); Pierce v. Society of Sisters, 268 U.S. 510, 535 (1925); and Meyer v. Nebraska, 262 U.S. at 399 (child rearing and education); Roe v. Wade, 410 U.S. 113, 152-153 (1973) (abortion); Moore v. City of East Cleveland, 431 U.S. 494, 499 (1977) (plurality opinion) (right to determine family living arrangements).

Petitioners' rights to pursue an open rather than a clandestine personal relationship and to rear their child together in this environment closely resemble the other aspects of personal privacy to which we have extended constitutional protection.

As noted above, the lower courts have had some difficulty in determining the source



of such a right and whether it covers off-duty sexual conduct of government employees.

Although the Fifth Circuit in this case found no invasion of a right of privacy, a prior panel had found that a postal service employee could not be fired under the Civil Service Reform Act because of sexual misconduct off the job, Bonet v. United States Postal Service, 661 F.2d 1071 (5th Cir. 1981) and an earlier decision by then district judge (now Circuit Judge) Rubin held that an IRS agent who had a "shack pad" in the French quarter of New Orleans where he and his friends entertained female friends could not be fired by the federal government because his constitutional privacy rights would be violated by such action. Major v. Hampton, 413 F. Supp. 66 (M.D.La. 1976).

On the issue of the sexual privacy rights of police, the Court of Appeals decision directly conflicts with

recent district court opinions in the Sixth Circuit (Briggs, supra), the Eighth Circuit (Swope, supra), the Third Circuit (Shuman, supra), and the Eleventh Circuit (Smith v. Price, supra).

On the other hand, district courts in New York and Virginia, and state courts in Pennsylvania and New York have upheld the right of government employers to discipline police for off-duty sexual conduct. See Baron v. Meloni, 556 F. Supp. 796 (W.D.N.Y. 1983) (deputy sheriff can be fired for having personal relationship with wife of reputed mobster); Suddarth v. Slane, 539 F. Supp. 612 (W.D.Va. 1982) (state trooper can be dismissed for engaging in adulterous affair); Fabio v. Civil Service Commission of City of Philadelphia, 414 A.2d 82 (1980) (police-man can be disciplined for having sexual relationship with wife's sister and arranging affairs for his own wife); Corwin v. Ellenville, 69 App. Div. 2d 415 N.Y.S.2d 299

(3d Dept. 1979) (sergeant can be demoted for engaging in six instances of adultery).

The Fifth Circuit below emphasized the quasi-military nature of police departments. But the other court decisions upholding the employee's rights have not considered this aspect decisive. Thus the issue of off-duty sexual conduct of police should be examined by this Court in light of that consideration as well.

In a series of similar cases dealing with the off-duty sexual activities of teachers and school administrators, the courts have also been badly split on the issue. See Lewis v. Delaware State College, 455 F. Supp. 239 (D.Del. 1978) (college administrator could not be fired for having child out of wedlock); Thompson v. Southwest School District, 483 F. Supp. 1170 (W.D.Mo. 1980) (teacher could not be suspended for living with a man not her husband); contra, Johnson v. San Jacinto Junior

College, 498 F. Supp. 555 (S.D.Tex. 1980) (school registrar in junior college can be disciplined for engaging in adulterous affair with librarian); Brown v. Bathke, 416 F. Supp. 1194 (D.Del. 1976) (school can refuse to rehire pregnant but unwed teacher).

In these cases also, the courts have been badly split on the source of the sexual privacy right, whether public knowledge of the affair is significant, whether any possible violation of state law is important, and what proof the state must submit to show adverse impact (if any) on job performance.

This Court should grant certiorari here to examine all these aspects of this problem which are properly presented by this case.

II. RESPONDENTS DENIED PETITIONERS  
PROCEDURAL DUE PROCESS BY  
DISCIPLINING THEM FOR CONDUCT  
THAT THEY COULD NOT HAVE KNOWN  
WAS PROHIBITED AND FOR WHICH  
PETITIONER WHISENHUNT HAD RE-  
CEIVED HIS SUPERVISOR'S APPROVAL.

The Court of Appeals acknowledged that Whisenhunt (and, by implication, Shawgo) did have a constitutionally protected property interest under Texas statutory law, as well as under other factors, which did "create a legitimate claim of entitlement protected by the Due Process clause", particularly in reference to his demotion. 701 F.2d at 474-477.

Shawgo and Whisenhunt contend that they were not accorded due process because the catch-all City personnel rules were so impermissibly vague as applied that they did not give petitioners notice of what conduct would subject them to penalty.

Indeed, the Fifth Circuit conceded that

Whisenhunt makes the strong argument that he could not have known that his conduct -- cohabitation with Shawgo -- was within the pro-

hibition of the general police and city regulations, and that he was therefore subjected to punishment without fair notice ... noting that other officers in the Department commonly engaged in the same activities without penalty, and that Whisenhunt had received permission from his superior to date and spend the night with Shawgo so long as the couple "did not set up house-keeping together".

701 F.2d at 477.

Certainly, if a police officer requests and receives permission from his superior in the chain of command to do something, which is already commonly engaged in by other officers, due process prohibits discipline for that conduct, at least until such time as the officer is informed otherwise.

Cox v. Louisiana, 379 U.S. 559, 571 (1965).<sup>4</sup>

Due process requires that sufficient notice or fair warning be given of conduct that will subject a person to penalty.

---

4. Petitioners do not attack the facial validity of the personnel rules but rather whether those catch-all regulations are unconstitutionally vague as applied in this case.

Kolendar v. Lawson, 51 U.S.L.W. 4532 (U.S. May 2, 1983); Connally v. General Construction Co., 269 U.S. 385, 391 (1926). Cf. Papachristou v. Jacksonville, 405 U.S. 156 (1972); and Coates v. Cincinnati, 402 U.S. 611 (1971).

A law is unconstitutionally vague if people of common intelligence must guess as to its meaning or its application, and if it "encourage[s] arbitrary and discriminatory enforcement. Kolendar v. Lawson, 51 U.S.L.W. at 4533; Hynes v. Borough of Oradell, 425 U.S. 610 (1976).

In this case, even though a catch-all provision such as "conduct unbecoming an officer" might possibly be valid on its face, it is unconstitutional if it is applied selectively or applied to conduct not reasonably within the legitimate scope of the rule. Cox v. Louisiana, *supra*; Davis v. Williams, 617 F.2d 1100, 1103 (5th Cir. 1980) (en banc). Cf. Bence v. Breier,

501 F.2d 1185 (7th Cir. 1974).

When a supervisor informs an employee under his command that specific conduct is permitted, and when that advice is plainly consistent with the pertinent regulation on its face, the employee is entitled to rely on the supervisor's construction of the relevant rules and regulations. Cox v. Louisiana, 379 U.S. at 571. If not, the employee is forced to guess whether or not his conduct is subject to punishment, and arbitrary and discriminatory enforcement is encouraged.

Here, as the record demonstrates beyond question, and as the Court of Appeals accepted, petitioners were not provided any notice that their conduct would subject them to penalty:

Whisenhunt makes an extremely persuasive case that his suspension was based upon constitutionally inadequate notice that his private conduct was cause for official discipline. He did not receive warning of the consequences of off-duty behavior that was a common prac-



tice at the Department and was expressly or tacitly approved by his supervisor. The actual conduct for which he was punished -- dating and spending the night with a co-employee -- is not self-evidently within the ambit of the regulations and thus does not carry with it its own warning of wrongdoing, as does illegal conduct. [Citations omitted.] In addition, [Whisenhunt] had no indication that his off-duty activities impaired his job effectiveness.

Moreover, the catchall regulation had not been given content by prior instances of discipline, for "the conduct resulting in their suspension was virtually identical to conduct previously tolerated." [Citation omitted.] [Whisenhunt] had no notice, because he was the first officer disciplined for activities that were approved by his supervisor and that he had valid reasons to believe were common in the police force. In addition, by knowingly tolerating similar activities by other individuals, the Department may be seen as sanctioning conduct that could have fallen within the scope of the rule. [Citation omitted.] [Whisenhunt's] supervisor's express or tacit approval, the implicit sanctioning of similar behavior in the Department, and the absence of warnings or prior instances of punishment, all raised a reasonable inference contradictory to the scope later ascribed to the general rule. [Citation omitted.]

701 F.2d at 478.

The Fifth Circuit improperly ignored the advance notice problem by noting that the Civil Service Commission gave petitioners a full opportunity to present their side of the issue. But that holding is inapposite, since it ignores the justification for the Due Process Clause's condemnation of vague statutes, namely the importance of permitting deprivations of liberty or property only on the basis of public law, not the ad hoc discretionary judgments of petty officials. See generally Kolendar v. Lawson, supra. Moreover, even on its own terms, the Fifth Circuit erred, for two related reasons: (1) petitioners were not allowed to present evidence on dating by other police, and the Department's knowledge of that activity, which would support their contention that the regulation was vague as applied to their activity, and (2) the Court of Appeals did not give proper weight to the uncontradicted evidence that Sergeant

Whisenhunt's superiors told him that a sexual relationship as such with Shawgo would not violate the rules, and that only notorious and open housekeeping together would do so.

Since discipline of public employees for off-duty, lawful sexual relationships intrudes so severely into the constitutionally protected realm of personal privacy and autonomy, it is even more vital here than in other situations that such discipline be based on clear, published regulations, reached by duly constituted legislative bodies after full consideration of the need for such intrusion, if any. Cf. Hampton v. Mow Sun Wong, 426 U.S. 28 (1976); Kent v. Dulles, 357 U.S. 116 (1958). The Fifth Circuit's refusal to follow this Court's repeated decisions on the vice of vagueness merits this Court's review.<sup>5</sup>

---

5. Although petitioners do not present it as a question itself justifying a writ of certiorari, they note that if certiorari is granted this case also presents the question whether the Court of Appeals erred (footnote continued on following page)

### CONCLUSION

Wherefore, petitioners pray that this Court issue a writ of certiorari to the Fifth Circuit Court of Appeals to review its judgment.

Respectfully submitted,

JAMES C. HARRINGTON  
SELDEN B. HALE  
LEON FRIEDMAN  
CHARLES S. SIMS  
BURT NEUBORNE

---

(footnote continued from preceding page)  
in concluding that petitioners had not been constructively discharged. See 701 F.2d at 481-82. If this Court holds that petitioners' substantive or procedural due process rights were violated by their discipline, it remains open to them to argue that there was a causal nexus between that violation and their departure from the police force.

## **APPENDIX**

Janet SHAWGO and Stanley Whisenhunt,  
Plaintiffs-Appellants,

v.

Lee SPRADLIN, Chief of Police, City of  
Amarillo, Texas, et al.,  
Defendants-Appellees.

No. 82-1097.

United States Court of Appeals,  
Fifth Circuit.

March 28, 1983.

Appeal from the United States District  
Court for the Northern District of Texas.

Before WISDOM, REAVLEY and TATE, Circuit  
Judges.

TATE, Circuit Judge:

The plaintiffs Janet Shawgo and Stanley Whisenhunt, former police officers employed by the Amarillo Police Department (the Department), sued the City of Amarillo, the Chief of Police, and various members of

Amarillo's Civil Service Commission (the Commission) seeking reinstatement and monetary damages. They allege that the defendants, acting in accordance with departmental and city rules, instituted disciplinary action against the plaintiffs for off-duty dating and alleged cohabitation, which invaded their privacy and violated due process. We affirm the district court's determination that the defendants' actions did not afford the plaintiffs a cause of action for a violation of a constitutional right under 42 U.S.C. § 1983, finding that the plaintiffs were afforded a state hearing that satisfied the requisites of constitutional due process and that the disciplinary actions did not violate the plaintiffs' privacy interests.

### The Facts

Shawgo and Sergeant Whisenhunt, non-probationary employees working different shifts, met and began dating while they

were employed by the Amarillo Police Department. Shawgo, a patrolwoman with the Department for over a year, was not under the supervision of Whisenhunt, a sergeant who had been with the force for eleven years. Whisenhunt informed his immediate supervisor, Lieutenant Boydston, that he was dating Shawgo. As his relationship with Shawgo became more serious, Whisenhunt informed Lieutenant Boydston that he and Shawgo would probably be spending the night together. The supervising officer told Whisenhunt "that that would probably be fine, [but] that I didn't want the two of them setting up housekeeping." Thereafter, the plaintiffs spent a considerable amount of off-duty hours together, but, as instructed, maintained separate residences. Whisenhunt also received Lieutenant Boydston's permission to share an apartment with John Edwards, a subordinate officer at the Department.

In the fall of 1977, a defendant, Chief



of Police Lee Spradlin, heard rumors within the police department that Shawgo and Whisenhunt were living together. The Chief did not confront either Shawgo or Whisenhunt concerning the rumors; nor did he contact their supervisors. Instead, he ordered officers in the Department's Detective Division to conduct a surveillance of Whisenhunt and Shawgo's off-duty activities. From December 1 to December 17, 1977 the investigating officers observed Shawgo's entrances to and exits from Whisenhunt's residence from a car parked in front of the apartment and from the apartment across from Whisenhunt's, which they rented for purposes of surveillance. Their written report to Chief Spradlin detailed the times of Shawgo's visits, but also indicated that Shawgo was maintaining a separate residence.

Based on the investigation report and concerned with moral discipline and the employees' public image, Chief Spradlin

recommended that the plaintiffs be disciplined for violations of the General Rules of the Rules and Regulations of the Amarillo Police Department. Shawgo was suspended for twelve days without pay after notification that her "cohabitation" outside marriage violated Section 113, Part 8, proscribing conduct that "if brought to the attention of the public, could result in justified unfavorable criticism of that member or the department." Whisenhunt was also suspended for twelve days and recommended for demotion from sergeant to patrolman for cohabitation with Shawgo and for sharing an apartment and expenses with subordinate officer Edwards. Whisenhunt's acts allegedly violated Section 113, Part 8, quoted above (conduct that "could result in justified unfavorable criticism," if brought to public attention); Section 123, which requires "diligent and competent" performance of duties that are not "otherwise

specifically prescribed" in the regulations<sup>1</sup>; and Rule XIX, Section 108 of the Personnel Rules of the City of Amarillo, which proscribes "conduct prejudicial to good order." Chief Spradlin did not give the plaintiffs

---

1. These rules and regulations, in effect during the plaintiffs' employment with the Department, provide in full:

Section 113, Part 8: No member shall engage in any personal conduct or act which, if brought to the attention of the public, could result in justified unfavorable criticism of that member or the department. No member be [sic] involved personally, in disturbances or police incidents to his discredit.

Section 123: Any member or employee of this department whose duties and responsibilities are not otherwise specifically prescribed in these rules and regulations shall be faithful in attendance to, diligent and competent in the performance of such duties as are indicated by the title by which he is assigned.

an opportunity to respond to these charges before suspension. No Amarillo police officer had ever before been disciplined for dating or cohabitation.

Shawgo and Whisenhunt requested and received a hearing before the Civil Service Commission of Amarillo, as was their statutory right. The Commission sustained the suspensions and ordered Whisenhunt's demotion to patrolman. The Commission, however, excluded from its hearing evidence of other known, but unpunished instances of interforce dating, cohabitation, and apartment-sharing between superiors and subordinates. There was no evidence at the Commission hearing or at the district court that the plaintiffs did not adequately perform their duties while they were dating, that their conduct distracted from service to the public, or that they violated any state law.

The suspensions and the public Commission hearing generated much publicity in

Amarillo. At the later trial in federal district court, Shawgo testified that she encountered hostility and rude remarks concerning her relationship with Whisenhunt within the Department and from the public; she also claimed to have been assigned by herself, instead of with a partner, to isolated field patrols. She resigned on March 8, 1978. After Whisenhunt's demotion and transfer from the active uniform division to the records department, he stamped file folders, wrote up telephone reports, and was isolated from the police officers he had previously supervised. He resigned on February 1, 1978.

The federal district court, after hearing testimony concerning the plaintiffs' civil rights claims, concluded that the temporary suspensions from duty for less than fifteen days did not constitute deprivation of a property interest protected by the Fourteenth Amendment. The district court

found that Whisenhunt's demotion similarly did not constitute deprivation of a protected property interest and that Shawgo and Whisenhunt had not been constructively discharged from employment. Despite the absence of a protected interest, the district court addressed the plaintiffs' due process arguments, concluding that the Department's and city civil service rules were not unconstitutionally vague or overbroad on their face or as applied, since the personal relationship between Shawgo and Whisenhunt and Whisenhunt's living arrangement with a male subordinate officer were reasonably within the scope of conduct proscribed by the Department and city rules. The court found that the Commission hearing was fair and without constitutional violation.

On appeal, the plaintiffs claim that they were denied property and privacy interests without due process because they did not have either a pre-suspension oppor-

tunity to respond to charges or, subsequently, a fair hearing before the Commission; that their due process rights were violated because regulations under which they were disciplined were unconstitutionally broad and vague and failed to provide them with notice that their lawful, off-duty, discreet and private conduct would render them liable to disciplinary penalties; that their due process rights were violated because the Department's selective enforcement of its vague catchall regulations, as applied, had deprived them of notice because Whisenhunt's superior had tacitly approved their conduct as permissible and because other couples in the Department had engaged in similar activity without penalty; and that the intensive surveillance and investigation of the off-duty activities, conduct unrelated to performance of their office duties, violated their privacy and did not serve a legitimate state interest.

The defendants contend that the plain-

tiffs have no protected property interest under Texas law, that they received adequate notice and opportunity to be heard before the Commission and the district court, that the Department and City rules prescribing personal conduct are constitutional, and that the compelling state interest in maintaining order within the police department justified any invasion of privacy that may have occurred by the surveillance and surreptitious investigation.

We shall discuss the issues raised by this appeal under three sections of this opinion, as follows: I. Due Process Contentions; II. Due Process--Constructive Discharge; and III. Right to Privacy.

I. Due Process Contentions

The plaintiffs contend that they were denied due process in being suspended and (in Whisenhunt's case) demoted, without notice that their conduct was subject to



penalty and without an opportunity to rebut adequately the charges. We shall divide our discussion of these particular contentions into three sub-parts, A. General Overview; B. The Twelve-Day Suspensions of the Plaintiffs; and C. The Demotion of Sergeant Whisenhunt. (They also contend that, as a consequence of these constitutional violations, they were constructively discharged in violation of due process rights, a contention we shall discuss in Part II, infra.)

#### A. General Overview

The general issue posed by this litigation concerns the federal due process principles that govern a state governmental unit's discipline of its employees. The particular concern is with regard to federal due process considerations involved when a disciplined employee asserts in federal court an action against his state employer under 42 U.S.C. § 1983, upon a claim that

his constitutional due process rights have been offended by the procedures or regulations of his employer in disciplining him.

[1] Under the conventional methodology, a claimed denial of procedural due process is first addressed to the issue of whether the employee had a constitutionally protected interest. See Bishop v. Wood, 426 U.S. 341, 344, 96 S.Ct. 2074, 2077, 48 L.Ed.2d 684 (1974); Board of Regents of State Colleges v. Roth, 408 U.S. 564, 569-70, 92 S.Ct. 2701, 2705, 83 L.Ed.2d 548 (1972). A governmental employee's interest in his continued employment is a "property interest entitling him to claim federal due process protection if there are rules or mutually explicit understandings that afford to an employee a reasonable expectation to security of interest in his job." Bishop v. Wood, supra, 426 U.S. at 346 n. 8, 96 S.Ct. at 2078, n. 8; Perry v. Sindermann, 408 U.S. 593, 601, 92 S.Ct. 2694, 2699

(1972).<sup>2</sup>

[2] The underlying conception of a "property" interest is "to protect those claims upon which people rely in their daily lives, reliance that must not be arbitrarily undermined." Roth, supra, 408 U.S. at 577, 92 S.Ct. at 2079, quoted in Bishop v. Wood, supra, 426 U.S. at 344 n. 7, 96 S.Ct. at 2077, n. 7 (emphasis added). Whether a property interest in employment has been created by an enactment or an implied contract must be decided at least initially by a reference to state law, Bishop v. Wood, 426 U.S. at 344, 96 S.Ct. 2077; although, as we stated in Winkler v. County of DeKalb, 648 F.2d 411, 414 (5th Cir. 1981):

---

2. A property interest has been defined by the Supreme Court as follows:

To have a property interest in a benefit, a person clearly must have more than an abstract need or desire for it. He must have more than a unilateral expectation of it. He must, instead, have a legitimate claim of entitlement to it.

(footnote continued on following page)

"Although the underlying substantive interest is created by 'an independent source such as state law,' federal constitutional law determines whether that interest rises to the level of a 'legitimate claim of entitlement' protected by the Due Process Clause." Memphis Light, Gas & Water Division v. Craft, 436 U.S. 1, 9, 98 S.Ct. 1554, 1560, 56 L.Ed.2d 30 (1978) (citations omitted). Accord, Thompson v. Bass, 616 F.2d 1259, 1265 (5th Cir.), cert. denied, 449 U.S. 983, 101 S.Ct. 399, 66 L.Ed.2d 245 (1980); \* \* \*

See also Van Alstyne, Cracks in "The New Property": Adjudicative Due Process in the Administrative State, 62 Cornell L.Rev. 445 (1977); Rabin, Job Security in Due Process: Monitoring Administrative Discretion Through a Reasons Requirement, 44 U.Chi. L.Rev. 60 (1976).

---

(footnote continued from preceding page)  
Property interests, of course, are not created by the Constitution. Rather they are created and their dimensions are defined by existing rules or understandings that stem from an independent source such as state law-- rules or understandings that secure certain benefits and that support claims of entitlement to those benefits. Board of Regents v. Roth, 408 U.S. 564, 577, 92 S.Ct. 2701, 2709, 33 L.Ed.2d 548 (1971).

B. The Twelve-Day Suspensions of the Plaintiffs

As noted, the Chief of Police suspended the plaintiffs for twelve days for violation of "catchall" conduct regulations, upon receipt of the investigating report showing their probable intimacy. No prior notice of a contemplated disciplinary suspension was given, nor was there a presuspension hearing. We shall now consider the plaintiffs' contention that their procedural due process rights were offended by lack of notice and hearing prior to their suspension for twelve days.<sup>3</sup>

---

3. The plaintiff Shawgo's additional complaint is that she was "constructively discharged" as a result of the suspension and the appeal therefrom. See Part III of this opinion, infra. Both plaintiffs likewise contend that due process rights of notice and an adequate hearing were violated by the vague catchall provisions as applied, and by the refusal by the Civil Service Commission upon its review of the disciplinary actions to receive evidence that indicated discriminatory and improperly selective application of the regulations to these plaintiffs alone; resolution of these contentions is subsumed under Part II.C. of this opinion, infra.

The Texas statutory law in effect at the time of the plaintiffs' activities, Firemen's and Policemen's Civil Service Law, Tex.Rev.Civ. Stat.Ann. art. 1269m(20) (1975), grants authority to the head of the police department to "suspend any officer under his jurisdiction or supervision for disciplinary purposes, for reasonable periods, not to exceed fifteen days." That statute further affords a right of appeal by employees disciplined by such temporary suspensions to the Civil Service Commission, with a full and complete hearing before it to determine if just cause for the suspension existed; if not, the Commission may reverse the Chief's suspension. While judicial review in the state courts is afforded for dismissals, demotions, and more serious disciplinary actions, the statute does not afford judicial review of the Commission's affirmance of such temporary (fifteen days or less) suspensions. Firemen's and Policemen's

Civil Service Commission of the City of Fort Worth v. Blanchard, 582 S.W.2d 778 (Tex. 1979); Fox v. Carr, 552 S.W.2d 885, 887-88 (Tex.Civ.App.1977).<sup>4</sup>

Bishop v. Wood, supra, read literally, would not recognize a property interest sufficient to require a presuspension hearing. The statute affording tenure rights to the police employees "grant[ed] no right to continued employment but merely condition[ed] an employee's [suspension] on compliance with certain specified procedures." Id., 426 U.S. at 345, 96 S.Ct. at 2078. With regard to the plaintiffs' rights to a hearing prior to a temporary suspension, "the

---

4. The plaintiffs' reliance on Thurston v. Dekle, 531 F.2d 1264, 1273 (5th Cir. 1976), as requiring a presuspension due process hearing, is misplaced. The court in Thurston required the city to give a pre-termination hearing before an indefinite suspension that in effect constituted a dismissal. Here, the suspension was for a definite temporary period, determined under state law not to constitute a property interest.

employee is merely given certain procedural rights" by the employment statute, which were not "violated in this case", id., 426 U.S. at 347, 96 S.Ct. at 2079, because these police employees were in fact afforded a post-suspension hearing, the only one to which they were entitled under this statute.

[3] Whatever limited property interest against arbitrary suspensions was granted to the plaintiff by the statute, federal due process does not require a hearing prior to the imposition of this minimal, statutorily-limited sanction. Mathews v. Eldridge, 424 U.S. 319, 335, 343, 96 S.Ct. 893, 903, 907, 47 L.Ed.2d 18 (1976).<sup>5</sup> The post-suspension

---

5. In holding that a prior hearing was not required before the termination of social security disability benefits, the Court observed:

our prior decisions indicate that identification of the specific dictates of due process generally requires consideration of three distinct factors: First, the private interest that will be affected by the official action; second, the risk of an erroneous deprivation (footnote continued on following page)



hearing is sufficient protection, under these circumstances, against arbitrary imposition of this temporary and limited disciplinary action. Id. "'Due process is flexible and calls for such procedural protections as the particular situation demands.'" Id., 424 U.S. at 334, 96 S.Ct. at 902.<sup>6</sup>

---

(footnote continued from preceding page)  
of such interest through the procedures used, and the probable value, if any, of additional or substitute procedural safeguards; and finally, the Government's interest, including the function involved and the fiscal and administrative burdens that the additional or substitute procedural requirement would entail.

Mathews v. Eldridge, 424 U.S. at 335, 96 S.Ct. at 903.

6. The Mathews Court advocated this flexible approach to scrutinizing procedures because due process "is not a technical conception with a fixed content unrelated to time, place and circumstances." 424 U.S. at 334, 96 S.Ct. at 902.

### C. The Demotion of Sergeant Whisenhunt

Whisenhunt was demoted from sergeant to patrolman in accordance with the Texas policeman's civil service statutory provisions. They require cause for a demotion of a policeman and afford him a "full and complete public hearing" before the Commission approves a supervisor's recommendation for demotion, Tex.Rev.Civ.Stat.Ann. art. 1269m(19), with judicial review de novo in the state direct court, id., art. 1269m(18). (We are informed that, in fact, Whisenhunt has applied for state judicial review of his demotion, and that the state court suit has been held on the docket pending the outcome of the present federal litigation.)

[4] Under the jurisprudence previously cited, Whisenhunt has a constitutionally protected property interest under the statute, which requires cause for his demotion. The permanency of the personnel action and the substantial loss of benefits inherent

in a demotion, as well as the existence of "rules or mutually explicit understandings," Perry v. Sindermann, 408 U.S. 593, 601, 92 S.Ct. 2694, 2699, 33 L.Ed.2d 570 (1979), support the employee's reasonable expectation of continued status unless cause exists for demotion; these factors create a legitimate claim of entitlement protected by the Due Process clause. See Winkler v. County of DeKalb, 648 F.2d 411, 414 (5th Cir. 1981) (state code requiring cause for demotion and guaranteeing a hearing created reasonable expectation of continued status, and therefore, a protected property interest). Cf. Moore v. Otero, 557 F.2d 435, 437 (5th Cir.1977) (finding no property interest with respect to demotion where the municipal code stated that employee served in his special capacity "only at the pleasure of the chief of police and the mayor").<sup>7</sup>

---

7. In holding that Whisenhunt had no constitutionally protected property interest (footnote continued on following page)

Whisenhunt claims that he was not accorded due process in his demotion. He asserts principally that (1) the catchall personnel statutes were impermissibly vague as applied and thus did not provide him fair notice of what conduct would subject him

---

(footnote continued from preceding page) against arbitrary demotion, the district court relied on the Texas Supreme Court's holding in City of Amarillo v. Hancock, 150 Tex. 231, 239 S.W.2d 788, 792 (Tex. 1951), that maintaining a certain rank in a city police department is not a vested property interest because the city may, by ordinance, abolish a certain position held by an individual or the entire rank, see Tex.Rev.Civ. Stat. Ann. art. 1269m(21). However, the state has created by its rules an expectation that unless the position is abolished (a situation not at issue here), the employee may be demoted only for cause and after hearing; federal due process requires that a citizen may not be deprived of the benefits of that state-created entitlement without due process. Cf. Winkler v. County of DeKalb, supra, 648 F.2d at 412 n.2 (similar statute permitted elimination of certain ranks, but determination that demotion requires due process).

to penalty, and (2) the pre-demotion Civil Service Commission hearing did not satisfy the requirements of due process because the Commission excluded relevant evidence that the disciplinary action was taken in retaliation for Whisenhunt's exercise of First Amendment rights and was selectively enforced against him.

(1) Lack of Notice

Whisenhunt makes the strong argument that he could not have known that his conduct -- cohabitation with Shawgo -- was within the prohibition of the general police and city regulations, and that he was thereby subjected to punishment without fair notice. The catchall regulations proscribing "conduct prejudicial to good order" and conduct that could bring unjustified public criticism of the involved individual police officers or the Department as a whole were vague as applied, he argues, noting that other officers in the Department commonly engaged in

the same activities without penalty, and that Whisenhunt had received permission from his superior to date and spend the night with Shawgo so long as the couple "did not set up housekeeping together."

The plaintiff does not attack the facial validity of these catchall personnel regulations, which have been upheld by the courts, see, e.g., Arnett v. Kennedy, 416 U.S. 134, 94 S.Ct. 1633, 40 L.Ed.2d 15 (1974); Davis v. Williams, 617 F.2d 1100, 1105 (5th Cir. 1980) (en banc),<sup>8</sup> and this court does not review the merits of the Commission's determinations that the plaintiffs did engage in interforce "cohabitation" and that Whisenhunt shared an apartment with a subordinate officer. Rather, we consider whether the catchall

---

8. While upholding the facial validity of a regulation similar to the present regulations, the en banc majority in Davis nonetheless recognized that there could be instances where a statute or regulation, valid on its face, could be attacked on other vagueness grounds. Id. (citing to Bence v. Breier, 501 F.2d 1185 (7th Cir. 1974), a vagueness-as-applied case).

regulations are unconstitutionally vague as applied in these circumstances.

[5] Federal courts have considered federal due process challenges for lack of notice in a number of contexts. Facially vague criminal statutes have been frequently struck down. Papachristou v. Jacksonville, 405 U.S. 156, 162, 92 S.Ct. 839, 843, 31 L.Ed.2d 110 (1972), Coates v. Cincinnati, 402 U.S. 611, 614, 91 S.Ct. 1686, 1688, 29 L.Ed.2d 214 (1971). In administrative regulation cases imposing substantial economic and penal sanctions, the rule either on its face or as applied must give the regulated party fair warning and a reasonable standard of culpability. See Connally v. General Construction Company, 269 U.S. 385, 46 S.Ct. 126, 70 L.Ed. 322 (1926). Vagueness-as-applied considerations often enter into First Amendment overbreadth cases, where the court finds that the catchall statute, while giving notice of most pro-

scribed conduct, may nonetheless chill constitutionally-protected free speech because of the uncertainty of a speaker as to whether his speech will or will not fall within the scope of the vague area of the regulation and incur governmental sanction. See, e.g., Gooding v. Wilson, 405 U.S. 518, 521-22, 92 S.Ct. 1103, 1105, 31 L.Ed.2d 408 (1972); Bence v. Breier, 501 F.2d 1185, 1188 (7th Cir. 1974).

In assessing due process vagueness challenges to the validity of regulations that do not invoke criminal penalties or do not infringe on constitutionally-protected activities, however, courts have balanced administrative necessities with the individual's need of notice. These decisions emphasize that "[f]air notice ... requires some attempt at specifying what action constitutes cause [for punishment], but it may well be impossible for the mind to imagine or the hand to transcribe every sort of human



misconduct that might fairly call for discipline." Davis v. Williams, supra, 617 F.2d at 1103.

In the present case, however, we are not reviewing the due process requirements of a criminal statute, a governmental administrative regulation, or a state personnel rule that may unduly infringe First Amendment rights. See generally Note, Vagueness Doctrine, 53 Tex.L.Rev. 1298, 1305 & n.40 (1975). Rather, our concern is the federal-due-process requirements of notice that must precede state discipline of its employees for conduct that is not in itself constitutionally protected.<sup>9</sup>

---

9. In thus limiting our due process discussion with regard to imposition of due process notice requirements in state-controlled employee discipline proceedings, we rely on the district court's determination, held by us not to be clearly erroneous, that the plaintiffs were not disciplined in retaliation for exercise of First Amendment freedoms (see note 13 infra), nor for exercise of a fundamental right to privacy (discussed in Part III, infra).

Whisenhunt makes an extremely persuasive case that his suspension was based upon constitutionally inadequate notice that his private conduct was cause for official discipline. He did not receive warning of the consequences of off-duty behavior that was a common practice at the Department and was expressly or tacitly approved by his supervisor. The actual conduct for which he was punished -- dating and spending the night with a co-employee -- is not self-evidently within the ambit of the regulations and thus does not carry with it its own warning of wrongdoing, as does illegal conduct, see, e.g., Glenn v. Newman, 614 F.2d 467, 473 (5th Cir. 1980) (officer's conduct may have constituted offense of contributing to the delinquency of a minor); Allen v. City of Greensboro, 452 F.2d 489, 491 (4th Cir. 1971) (improper advances toward a young woman during course of investigation), or acts of clear insubordination,

see Kannisto v. City & County of San Francisco, 541 F.2d 841, 843 (9th Cir. 1976) (police officer who publicly denigrates his supervisors has notice of applicability of general "maintaining discipline" provision). In addition, the plaintiff here had no objective indication that his off-duty activities impaired his job effectiveness.

Moreover, the catchall regulation had not been given content by prior instances of discipline, for "the conduct resulting in their suspension was virtually identical to conduct previously tolerated." Waters v. Peterson, 495 F.2d 91, 100 (D.C. Cir. 1973). The plaintiff had no notice, because he was the first officer disciplined for activities that were approved by his supervisor and that he had valid reasons to believe were common in the police force. In addition, by knowingly tolerating similar activities by other individuals, the Depart-

ment may be seen as sanctioning conduct that could have fallen within the scope of the rule. See Bence v. Breier, supra, 501 F.2d at 1193. Whisenhunt's supervisor's express or tacit approval, the implicit sanctioning of similar behavior in the Department, and the absence of warnings or prior instances of punishment, all raised a reasonable inference contradictory to the scope later ascribed to the general rule. Cf. id.

The circumstances under which Whisenhunt was demoted may not seem "fair" to us as judges, and we may hope that state judicial review affords a remedy for such unfairness as is perceived by us. Nevertheless, a federal court must heed the dictates of federalism that, where there is not an independently protected constitutional right, a federal court is not

the appropriate forum in which to review the multitude of personnel decisions that are made daily by public agencies. We must accept the harsh fact that

numerous individual mistakes are inevitable in the day-to-day administration of our affairs. The United States Constitution cannot feasibly be construed to require federal judicial review for every such error. In the absence of any claim that the public employer was motivated by a desire to curtail or to penalize the exercise of an employee's constitutionally protected rights, we must presume that official action was regular and, if erroneous, can best be corrected in other ways. The Due Process Clause of the Fourteenth Amendment is not a guarantee against incorrect or ill-advised personnel decisions.

Bishop v. Wood, supra, 426 U.S. at 349-50, 96 S.Ct. at 2080.

[6] However easy it would have been for the Department to cure the "taint" of lack of notice in this instance by providing the officer with some "ascertainable standard with which to guide [his] conduct," Bence v. Breier, supra, 501 F.2d at 1193, or to provide a warning before punishment,<sup>10</sup>

---

10. The defendants contend that Whisenhunt's supervisor "warned" the plaintiffs of the consequences of their actions when he forbade their sharing an apartment together. (footnote continued on following page)

a federal court may not, absent impingement on a constitutionally protected right, prescribe the contents of the specific guidelines a state governmental unit must provide to direct the conduct of its employee in off-duty activities. We may not thereby exact detailed conditions of notice that the state must provide to its employees before taking disciplinary action with regard to off-duty activities that are not within a protection of the federal constitution.

[7] At issue in the present case is a routine personnel decision, a demotion, for which Whisenhunt received a civil service commission hearing, with notice of the charged violations and the specific conduct for which he was to be disciplined. He was able to present at this hearing the compelling argument he now presents before this

---

(footnote continued from preceding page)  
To the contrary, the plaintiffs received approval of their planned conduct and strictly observed the limitations set forth in the "warning."

court--that his supervisor expressly approved the behavior for which he was punished; that defense, rejected by the Commission, is still subject to state judicial review and may well be decided favorably to him thereon. Emphasizing that the underlying conduct--cohabitation or romantic involvement between a subordinate and superior officer--was within the scope of state personnel regulation and not independently protected by the Constitution (as is, for example, first amendment speech), we find that--in the context of a § 1983 action brought in federal court to review personnel disciplinary actions by state governmental agencies--the notice provided by the state civil service regulations, however vague it may have been that the conduct for Whisenhunt which was disciplined was subject to sanction, did not nevertheless offend the minimum requirements of federal due process with regard to the state's

discipline of its employees for conduct that is not in itself constitutionally protected and that was reasonably within the scope of the regulations' prohibition.

(2) Due Process Deficiencies in the Commission Hearing?

Whisenhunt also contends that the civil service commission hearing, which resulted in the Commission's approval of his demotion, was constitutionally inadequate to deprive him of his protected property interest against arbitrary demotion. In this regard, he chiefly objects to the Commission's refusal, in a series of increasingly limiting evidentiary rulings, to permit introduction of evidence concerning other instances of known, but unpunished, dating and perhaps cohabitation within the Department and evidence of a grudge the Chief of Police held against Whisenhunt because of several critical statements about police policies the plaintiff made to fellow



officers.<sup>11</sup>

---

11. At first, the Commission would not permit investigating officers to comment on the Chief's knowledge of other couples about which he had done nothing, or to testify as to rumors or hearsay reports of other cohabitating officers. (Tr. 104, 106); the Commission limited testimony to personal observations of cohabitation (Tr.133). The Commission then ordered the Head of the Detective Division, who had been assigned to the surveillance of the plaintiffs and another couple, to confine his testimony to his knowledge of cohabitation or dating to this particular case. (Tr. 180-81.)

The Commission also excluded as irrelevant testimony of the Chief concerning prior disciplinary actions taken to discipline officers for cohabitation or dating or instances of a subordinate sharing a residence with a superior officer; knowledge of other interforce relationships; or plans to instigate future investigations and discipline (Tr. 244, 254, 262, 286, 303, 322). Finally, the Commission would not permit Lieutenant Boydston, Whisenhunt's supervisor, to testify as to the Chief's animosity to Whisenhunt prior to the end of 1977 (Tr.359), although Boydston testified that the Chief had made only negative comments regarding Whisenhunt. With respect to interforce dating, Boydston did testify that in many instances male police officers, in case of emergency call-backs to duty, left at work telephone numbers of women, perhaps fellow police officers, whom they were visiting. (Tr. 424.)

[8] Due process requires that an individual be accorded notice and the opportunity to rebut the charges against him before incurring deprivation of a protected interest. See, e.g., Mullane v. Hanover Trust Company, 339 U.S. 306, 313, 70 S.Ct. 652, 657, 94 L.Ed. 865 (1950). But, "the standards of due process are not wooden absolutes," Ferguson v. Thomas, 430 F.2d 852, 856 (5th Cir. 1970), for constitutional dictates provide only general minimum standards for state procedures, see id. at 858. The minimum federal constitutional requirement is only that the party deprived of a property right have "'an opportunity [for a hearing] ... granted at a meaningful time and in a meaningful manner,' for [a] hearing appropriate to the nature of the case," as well as "a meaningful opportunity to be heard." Boddie v. Connecticut, 401 U.S. 371, 378-79, 91 S.Ct. 780, 786-87, 28 L.Ed.2d 113 (1971) (citations omitted). Accordingly, in re-

viewing the state disciplinary hearing for its alleged federal due process deficiencies, our task is not to review every evidentiary ruling as if we were ourselves deciding the issues presented at the state hearing, but rather is limited to determining whether Whisenhunt's due process right to have the opportunity to rebut the charges against him was offended because he could not fully develop his defenses to the charged violations.

[9] We must first note that the Commission's refusal to hear evidence regarding Whisenhunt's being disciplined in at least partial retaliation for his exercise of First Amendment rights, even if constituting a due process defect in the hearing, has been cured by the district court's de novo hearing on the issue of the First Amendment violation, see Fluker v. Alabama State Board of Education, 441 F.2d 201, 208 (5th Cir. 1971), and that we do not find clearly erro-

neous the district court's determination that Whisenhunt was not disciplined in retaliation for his speech (see also note 13 infra).

The evidentiary rulings, which give rise to the claim of due process deficiency, excluded testimony (other than first hand knowledge of the witness) of other instances of interdepartmental dating and cohabitation and of the Chief of Police's knowledge and toleration of similar activities. These rulings may indeed have hindered Whisenhunt's presentation of the defense of selective discipline with respect to conduct that was a common practice in the Department. Nevertheless, we are unable to say that the Commission's rulings were arbitrary if indeed that issue is relevant to our determination--, for these rulings were based upon the Commission's reluctance to publicize the private lives of other police officers or to bring to light the alleged violations by

other officers who had not been charged and who could not defend themselves.

Most relevantly, the plaintiff had the opportunity to present his essential defense that he was punished pursuant to a broad regulation for conduct approved by his supervisor. Moreover, the plaintiff was able to present evidence of his spotless performance evaluations and the absence of public complaints regarding cohabitation. All of these factors tended to prove that the plaintiff's objectionable conduct had not been prejudicial to good order in the Department and, even though it may have been a common occurrence, had not resulted in public criticism in violation of police regulations.

The state commission was thus fully aware of Whisenhunt's essential defenses, and it rejected them. In effect, Whisenhunt asks this federal court to hold that the state commission committed error of constitutional magnitude because the Com-

mission sustained charges against him that his cohabiting conduct violated the regulations and was cause for discipline, despite the circumstance that he was the first officer against whom disciplinary charges so based had been filed and despite his superior's express or tacit permission for him to engage in such conduct.

[10] We are nevertheless unable to agree that the Commission's rejection of his contentions was so arbitrary and unreasonable as to implicate a due process issue of a denial of a meaningful opportunity to be heard. We emphasize once again that Whisenhunt's conduct for which disciplined does not involve exercise of a constitutionally protected right (see Part III infra, rejecting the plaintiffs' privacy contentions), nor did the notice given by the regulation offend minimum federal due process requirements for the conduct at issue (see Part I. C., supra).

On state judicial review, the state tribunal may well conclude that Whisenhunt's conduct did not under the circumstances offend the catchall personnel regulations as charged, or that he was deprived under state law of the requisite opportunity to defend against the violations based on conduct unknown to be cause for discipline. Nevertheless, as a federal court reviewing the state procedures upon a claim of violation of federal right, we are unable to conclude that, by the state administrative hearing, Whisenhunt was deprived of the minimum federal due process rights to which he was entitled: an opportunity for a hearing appropriate to the nature of the case, with a meaningful opportunity to be heard. Boddie v. Connecticut, supra, 401 U.S. at 377-78, 91 S.Ct. at 786-87.

## II. Due Process--Constructive Discharge

The plaintiffs also contend that they were "constructively discharged" as a result of the due process violations of the defendants. We have some doubt that we need reach this issue, in view of our previous determinations that no federal due process rights were offended by the temporary suspensions, by the alleged lack of notice afforded by the vague catchall regulations upon which disciplinary actions against them were founded, or by the hearing procedures of the state civil service commission. Nevertheless, in deference to the plaintiffs' argument that an employee's discharge (as compared with a temporary suspension or even, a demotion) implicates closer scrutiny as to due process violations, we briefly note why, in our view, no constructive discharge here occurred.

[11] Generally speaking, a constructive discharge may be deemed to have resulted



when the employer made conditions so intolerable that the employee reasonably felt compelled to resign.<sup>12</sup>

Here, the plaintiff Shawgo personally felt compelled to resign because of publicity resulting from the disciplinary

---

12. See Pittman v. Hattiesburg Municipal Separate School District, 644 F.2d 1071, 1077 (5th Cir. 1981); Young v. Southwestern Savings & Loan Ass'n, 509 F.2d 140, 144 (5th Cir. 1975). To find a constructive discharge, the court determines whether or not a reasonable person in the employee's position would have felt compelled to resign. Pittman, *supra*, Bourque v. Powell Electrical Manufacturing Co., 617 F.2d 61, 65 (5th Cir. 1980). This analysis proceeds upon an examination of the conditions imposed, not upon an attempt to divine the employer's state of mind. Bourque, 617 F.2d at 65. Thus, the employee need not prove it was the employer's purpose to force the employee to resign, but rather only that the employer made conditions intolerable. Pittman, 644 F.2d at 1077, citing Bourque, *supra*.

action and the hearing, and the derogatory comments from co-workers and the public resulting therefrom. Sergeant Whisenhunt personally felt compelled to resign because of his assignment to the records department, isolated from the police officers whom he had previously supervised. In neither instance, we should note, were the actions by the Department impermissibly motivated by any desire to discipline or to retaliate for the employees' exercise of constitutionally protected rights. Cf. Thompson v. Bass, 616 F.2d 1259, 1267 (5th Cir. 1980), cert. denied, 449 U.S. 983, 101 S.Ct. 399, 66 L.Ed. 2d 245 (1981) (infringement of employee's first amendment right implicates greater right to due process protections<sup>13</sup>).

---

13. In the text, we have previously rejected the contention that they were disciplined because of their exercise of First Amendment rights. From our review of the record in the Commission hearing and the district court, we do not find that the district court was clearly erroneous in determining that Whisen- (footnote continued on following page)

[12] We agree with the district court's conclusion that the plaintiffs were not constructively discharged,<sup>14</sup> despite its separate factual findings that the publicity attendant to the hearing requested by plaintiffs impaired plaintiffs' ability to perform effectively, that Whisenhunt was removed from contact with officers in the Department whom he had previously supervised, and that Shawgo encountered hostility

---

(footnote continued from preceding page)  
hunt was not disciplined or discharged because of his criticism of the Department (Finding of Fact 21) and that Chief Spradlin's disciplinary actions "were not retaliatory measures made in an attempt to silence plaintiffs' criticisms" (Conclusion of Law 12).

14. Although it is unclear whether the constructive discharge issue is purely a question of fact subject to the clearly erroneous rule or a question of mixed law and fact, we, as did the court in Junior v. Texaco, Inc., 688 F.2d 377, 379-80 (5th Cir. 1982), conclude that, even under the greater mixed law-fact scope of review afforded to this court, the district court was not in error.

from the public in the course of her duties as a patrolwoman. These conditions, insufferable as they may have been to the plaintiffs personally, were a result of their own reactions to the offensive publicity resulting from the open hearing and the Commission's decision to demote Whisenhunt, both factors inherent in the disciplinary proceedings necessitated in order to afford a disciplined employee hearing rights required by due process. Under these circumstances, the plaintiffs' resignations resulted from the embarrassment that may be consequent to any required disciplinary proceedings, not from any constitutionally (or otherwise) inhibited action by the employer that made intolerable the employees' continuance in governmental service.<sup>15</sup>

---

15. The plaintiffs did not expressly argue that a hearing was necessary to protect a liberty interest in their professional reputation, damage to which would have affected their enjoyment of a property interest in continued employment or perhaps in obtaining (footnote continued on following page)

### III. Right to Privacy

[13] We agree with the district court that, in the present circumstances, the plaintiffs' right to privacy has not been infringed by the scope of the regulation proscribing, as conduct prejudicial to good order, cohabitation of two police officers, or proscribing a superior officer from sharing an apartment with one of lower rank. The fourteenth amendment "protects substantive aspects of liberty"--including freedom of choice with respect to certain basic matters of procreation; marriage, and family life, see, e.g., Roe v. Wade, 410 U.S. 113, 153, 93

---

(footnote continued from preceding page) ing other work in the area of law enforcement. In Paul v. Davis, 424 U.S. 693, 711-12, 96 S.Ct. 1155, 1165, 47 L.Ed.2d 405 (1976), the Supreme Court ruled that defamation by a state official alone did not deprive an individual of a liberty interest protected by due process, for to establish a liberty interest sufficient to implicate the safeguards of the Fourteenth Amendment, "the individual must be not only stigmatized but also stigmatized in connection with a denial of a right or status previously recognized under state law." Moore v. Otero, 557 F.2d 435, 437 (5th Cir. 1977) (citing Paul).

S.Ct. 705, 726, 35 L.Ed.2d 147 (1973); Griswold v. Connecticut, 381 U.S. 479, 485, 85 S.Ct. 1678, 1682, 14 L.Ed.2d 510 (1965)-- "against unconstitutional restrictions by the state." Kelley v. Johnson, 425 U.S. 238, 244, 96 S.Ct. 1440, 1444, 47 L.Ed.2d 708 (1976). The first amendment additionally imbues the right to privacy to include protected forms of "association" for social as well as political reasons. See Griswold v. Connecticut, supra, 381 U.S. at 483, 85 S.Ct. at 1681.

The plaintiff police officers, who were found to have violated police and state rules of conduct by reason of their personal, off-duty association that led to their marriage, contend that the state may not regulate these private activities. This argument fails to take into account the fact that the right to privacy is not unqualified. Roe v. Wade, supra, 410 U.S. at 154, 93 S.Ct. at 728,

and that the state has "more interest in regulating the activities of its employees than the activities of the population at large," Kelley v. Johnson, supra, 425 U.S. at 245, 96 S.Ct. at 1444.

[14] To sustain the attack on these police personnel regulations, the plaintiff officers must "demonstrate that there is no rational connection between the regulation, based as it is on the county's method of organizing its police force, and the promotion of safety of persons and property." Id. In this case we do not attempt to outline all the contours of a police department's scope of regulation of the off-duty activities of its employees, for we can ascertain a rational connection between the exigencies of Department discipline and forbidding members of a quasi-military unit, especially those different in rank, to share an apartment or to cohabit.

[15] The plaintiffs also claim that their constitutional rights to privacy were violated by the investigatory surveillance of their off-duty association. No authority is cited in support of this proposition. It seems to us to be self-evident, from the jurisprudential expressions found in the first paragraphs of this part of the opinion, that police officers enjoy no constitutionally protected right to privacy against undercover and other investigations of their violations of department regulations or (although not in the present case) of law.

We thus find that no constitutional privacy rights of the plaintiffs were offended.

### Conclusion

Because the plaintiffs were not denied the due process protections of notice of wrongdoing and a fair hearing before being deprived of a protected property interest



and no constitutional right to privacy was implicated, we AFFIRM the judgment of the court below.

AFFIRMED.

Janet SHAWGO and Stanley WHISENHUNT,  
Plaintiffs-Appellants,

v.

Lee SPRADLIN, Chief of Police, City of  
Amarillo, Texas, et al.,  
Defendants-Appellees.

No. 82-1097.

United States Court of Appeals,  
Fifth Circuit.

March 28, 1983.

Before WISDOM, REAVELEY and TATE,  
Circuit Judges.

JUDGMENT

This cause came on to be heard on the  
record on appeal and was argued by counsel:

On consideration whereof, it is now  
here ordered and adjudged by this Court  
that the judgment of the said District  
Court in this case be, and the same is  
hereby, affirmed;

It is further ordered that Plaintiffs-  
Appellants pay to Defendants-Appellees the

costs on appeal, to be taxed by the  
Clerk of this Court.

/s/\_\_\_\_\_

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
AMARILLO DIVISION

-----  
FILED FEB 11, 1982  
-----

JANET SHAWGO and STANLEY )  
WHISENHUNT, )

PLAINTIFFS, )

v. )

CIVIL ACTION  
NO. CA-2-78-90

LEE SPRADLIN, Chief of )  
Police, City of Amarillo, )  
Texas, ET AL., )

DEFENDANTS. )

FINDINGS OF FACT AND CONCLUSIONS OF LAW

This action came on for trial by the Court without a jury in the United States District Court for the Northern District of Texas, Amarillo Division. After considering the evidence, pleadings and arguments of counsel, the Court does hereby make its Findings of Fact and Conclusions of Law.

## FINDINGS OF FACT

1. At the time of their suspensions, Plaintiff Janet Shawgo was a patrolman with the Amarillo Police Department and Plaintiff Stanley Whisenhunt was a sergeant with the Amarillo Police Department. Both were non-probationary employees.

2. During their employment with the Amarillo Police Department, Plaintiffs had knowledge of the following General Rules of the Rules and Regulations of the Amarillo Police Department:

- (1) Section 113, Part 8: "No member shall engage in any personal conduct or act which, if brought to the attention of the public, could result in justified unfavorable criticism of that member or the department. No member be (sic) involved personally, in disturbances or police incidents to his discredit."
- (2) Section 123: "Any member or employee of this department whose duties and responsibilities are not otherwise specifically prescribed in these rules and regulations shall be faithful in attendance to, diligent and competent in the

performance of such duties as are indicated by the title by which he is assigned."

3. Also, during their employment with the Amarillo Police Department, Plaintiffs had knowledge of Rule XIX, Section 108, of the Personnel Rules of the City of Amarillo, which are the Civil Service Rules adopted by the Civil Service Commission of the City of Amarillo pursuant to Tex. Rev. Civ. Stat. Ann. Art. 1269m, Section 5. Such Rule proscribed "conduct prejudicial to good order."

4. Defendant, Amarillo Chief of Police, Lee Spradlin, heard rumors from several sources in the fall of 1977 that Patrolman Janet Shawgo and Sergeant Stanley Whisenhunt were living together.

5. On or about November 28, 1977, Chief Spradlin ordered an investigation to discover whether the rumors about Patrolman Shawgo and Sergeant Whisenhunt were true or untrue.

6. The detailed report of the investigation was delivered to Chief Spradlin on or about December 19, 1977. The report showed the

times and dates of Plaintiffs' entrances into and exits from Stanley Whisenhunt's apartment from December 1 to December 17, 1977. It showed that Janet Shawgo spent substantial time in Stanley Whisenhunt's apartment and that they spent the night together on a number of occasions.

7. Chief Spradlin recommended discipline against Janet Shawgo and Stanley Whisenhunt based on the investigation report.

8. Janet Shawgo was suspended without pay from the Amarillo Police Department for a twelve-day period from December 22, 1977, to January 3, 1978, on the grounds that she violated the General Rules of the Rules and Regulations of the Amarillo Police Department, Section 113, Part 8.

9. Janet Shawgo was given notice of the reason for her suspension as follows:

"During the past thirty (30) days, you have been cohabiting with Sgt. Stanley T. Whisenhunt of the Amarillo Police Department without being legally married to him, at 3807 Virginia, Apartment 20, Amarillo, Texas."

10. Stanley Whisenhunt was suspended without pay from the Amarillo Police Department for a twelve-day period from December 20, 1977, to January 1, 1978, on the grounds that he violated the General Rules of the Rules and Regulations of the Amarillo Police Department, Section 113, Part 8, and Section 123, and the Personnel Rules of the City of Amarillo, Rule XIX, Section 108. Chief Spradlin recommended that Stanley Whisenhunt be demoted from the rank of Sergeant to the rank of Patrolman.

11. Stanley Whisenhunt was given notice of the reasons for the proposed suspension as follows:

2. For violation of Section 113, Part 8, General Rules, of the Rules and Regulations of the Amarillo Police Department:

"From November 20, 1977, through December 19, 1977, you have been cohabiting wit Officer Janet Shawgo of the Amarillo Police Department without being legally married to her, at 3807 Virginia, Apt. 20, Amarillo, Texas."



b. For violation of Section 123,  
General Rules, of the Rules and  
Regulations of the Amarillo Police  
Department:

"Specifically, you have violated the principles of sound management and supervision of subordinates by establishing a relationship with two subordinates which would likely make you hesitate to take full disciplinary action against such subordinates should you be required to do so by the Rules and Regulations of the Amarillo Police Department."

\* \* \*

"For the past thirty (30) days you have been cohabiting with Officer Janet Shawgo of the Amarillo Police Department as more fully set out in Paragraph A above, which is incorporated herein by reference. Officer Shawgo is subordinate to you in rank. Furthermore, for at least the past thirty (30) days you have been sharing your apartment with Officer John D. Edwards of the Amarillo Police Department, who is also subordinate to you in rank, for the purpose of defraying rent and expenses. You are, therefore, relying on Officer Edwards for financial assistance in the sense of sharing apartment expenses, and you have also given Officer Edwards an opportunity to become acquainted with your personal habits and activities."

c. For violation of Rule XIX, Section 108, of the Personnel Rules of the City of Amarillo:

"For at least the past thirty (30) days, you have been cohabiting with Officer Janet Shawgo of the Amarillo Police Department without being legally married to her and have been sharing an apartment and apartment expenses with Officer John D. Edwards of the Amarillo Police Department, as more fully set out in Paragraph I above, the charges and allegations of which are incorporated herein for all purposes."

12. Janet Shawgo and Stanley Whisenhunt requested and were granted a hearing before the Civil Service Commission of the City of Amarillo.

13. The Plaintiffs were given a full and complete hearing before a fair tribunal. The Civil Service Commission of the City of Amarillo found that the disciplinary orders and the recommendations of Chief Spradlin should be sustained. Following the hearing, Stanley Whisenhunt was demoted.

14. Each Defendant acted pursuant to a good faith belief based upon reasonable grounds that they were acting in accordance with and in compliance with all laws, both

state and federal.

15. Stanley Whisenhunt did not appeal his demotion to the District Court of the State of Texas.

16. Stanley Whisenhunt's and Janet Shawgo's ability to perform effectively was impaired by the publicity given the hearing requested by them. Janet Shawgo encountered hostility from the public in the course of her duties as a patrolman.

17. After his demotion, Stanley Whisenhunt was assigned duties which did not require contact with the men he had previously supervised.

18. Stanley Whisenhunt, in writing, resigned, effective February 1, 1978.

19. Janet Shawgo, in writing, resigned, effective March 8, 1978.

20. Plaintiffs were not constructively discharged.

21. Stanley Whisenhunt was not disciplined or discharged because of his criticism of the Amarillo Police Department.

## CONCLUSIONS OF LAW

1. Jurisdiction is conferred upon this Court by 28 U.S.C. § 1343 and 28 U.S.C. § 1331.

2. The Defendants have the burden of pleading "good faith" as an affirmative defense. Gomez v. Toledo, 446 U.S. 635, 100 S.Ct. 1920, 64 L.Ed.2d 572 (1980). They have met the requirements of Gomez by pleading immunity and by asserting a good faith defense for all defendants in the Pre-Trial Order signed by all parties and approved by the Court. Defendants are entitled to a qualified good faith immunity from liability in their individual capacities. Familias Unidas v. Briscoe, 619 F.2d 391 (5th Cir. 1980).

3. A local governmental entity sued under 42 U.S.C. § 1983 may not assert a good faith defense. Owen v. City of Independence, 445 U.S. 622, 100 S.Ct. 1398, 63 L.Ed.2d 673 (1980). Good faith is not a defense to a suit against a party in his official capacity because such suits are actions against the

governmental entity of which the official is an agent. Families Unidas, supra; Universal Amusement Co., Inc. v. Hofheinz, 646 F.2d 996 (5th Cir. 1981). The City of Amarillo is liable for wrongful actions by Defendants in their official capacity. See, Van Ooteghem v. Gray, 628 F.2d 488 (5th Cir. 1980).

4. A property right in employment is protected by the Fourteenth Amendment due process requirements. Whether a property right exists is determined by State law. Bishop v. Wood, 426 U.S. 341, 344, 96 S.Ct. 2074, 48 L.Ed.2d 684 (1976); Board of Regents v. Roth, 408 U.S. 564, 577, 92 S.Ct. 2701, 33 L.Ed.2d 548 (1972). The relevant state statute is Tex. Rev. Civ. Stat. Ann., Art. 1269m, as it was in effect at the time in question. The statute has since been amended.

5. The Firemen's and Policemen's Civil Service Act did not authorize an appeal to the Courts from disciplinary suspensions which did not exceed fifteen (15) days dura-

tion. Firemen's and Policemen's Civil Service Commission of the City of Forth Worth v. Blanchard, 582 S.W.2d 778 (Tex. 1979). The orders of disciplinary suspension were final, subject only to constitutional challenges. City of Amarillo v. Hancock, 239 S.W.2d 788 (Tex. 1951).

6. The Firemen's and Policemen's Civil Service Law, Tex. Rev. Civ. Stat. Ann. Art. 1269m, Section 20, permitted disciplinary suspensions of police officers by the head of the police department for reasonable periods, not to exceed fifteen days. The Statute provided that following notice by the department head to the Civil Service Commission within one hundred twenty (120) hours, the Civil Service Commission shall have the "power to investigate and to determine whether just cause exists therefor", and may reverse the order of the department head.

The Court concludes that the temporary suspensions of the Plaintiffs for less than

fifteen (15) days did not constitute deprivation of a property interest protected by the Fourteenth Amendment to the United States Constitution. Fox v. Carr, 552 S.W.2d 885 (Tex. Civ. App. -- Texarkana 1977, no writ history).

7. The Firemen's and Policemen's Civil Service Act, Tex. Rev. Civ. Stat. Ann. Art. 1269m, Section 18, authorized an appeal to State District Court from an order of demotion rendered by the Civil Service Commission. Plaintiff Stanley Whisenhunt failed to appeal the order of demotion to District Court. He cannot now challenge the merits of the Civil Service Commission order in Federal Court. This Court has jurisdiction only to consider the constitutional challenges to the demotion order.

8. The Firemen's and Policemen's Civil Service Law, Tex. Rev. Civ. Stat. Ann. Art. 1969m, Section 19, permitted demotions of a police officer by the head of the Police Department following a full and complete

hearing before the Civil Service Commission.

Art. 1269m, Section 19 provided in part:

"If ... said Commission feels that probably (sic) cause exists for said demotion, they shall give such employee ten (10) days advance written notice to appear before them at a time and place specified in said written notice to the employee, and said employee shall have the right to a full and complete public hearing upon such proposed demotion. The Commission shall not demote any employee without such hearing."

The Texas Supreme Court in The City of Amarillo v. Hancock, supra, held that a demotion under Art. 1269m, Section 19, is not a deprivation of a property interest protected by the due process requirements of the Fourteenth Amendment. This Court will nevertheless consider whether Stanley Whisenhunt was granted due process in his demotion.

9. Where an employee has a property right in employment, the due process clause of the Fourteenth Amendment requires written notice of the reasons for termination of employment prior to actual termination so that the employee is given an effective opportunity



to rebut those reasons at a fair and impartial hearing. Goldberg v. Kelly, 397 U.S. 254, 90 S.Ct. 1011, 25 L.Ed.2d 287 (1970); Thurston v. Decle, 531 F.2d 1264 (5th Cir. 1976). The Court concludes that the requirements of Art. 1269m, Section 19, were met, and that the hearing requirements of the due process clause of the Fourteenth Amendment were met with regard to the demotion of Stanley Whisenhunt.

10. The rules used for the disciplinary suspensions and demotion are not unconstitutionally vague or overbroad on their face.

The void for vagueness doctrine incorporates the due process notions of fair notice or warning. Papachristou v. Jacksonville, 405 U.S. 156, 92 S.Ct. 839, 31 L.Ed.2d 110 (1972). A void for vagueness challenge involves consideration of both the essential fairness of the law and the impracticability of drafting the legislation with specificity. Colton v. Kentucky, 407 U.S. 104, 92 S.Ct. 1953, 32

L.Ed.2d 584 (1972); Arnett v. Kennedy, 416 U.S. 134, 94 S.Ct. 1633, 40 L.Ed.2d 15 (1974). When it is not feasible for lawmakers to spell out in detail all prohibited conduct, a "catch-all" clause is appropriate, and not impermissibly vague or overbroad on its face. As a result, lawmakers need not choose between a detailed code or no code at all. Arnett, supra. The Court concludes that Section 113, Part 8 and Section 123 of the General Rules of the Rules and Regulations of the Amarillo Police Department and Rule XIX, Section 108 of the Personnel Rules of the City of Amarillo are not unconstitutionally vague or overbroad on their face.

11. The rules in question were not unconstitutionally vague or overbroad as applied.

An intimate relationship between an officer and subordinate is reasonably within the conduct proscribed by Section 113, Part 8, and Section 123 of the General Rules of

the Rules and Regulations of the Amarillo Police Department, and Rule XIX, Section 108 of the Personnel Rules of the City of Amarillo.

12. Neither Chief Spradlin nor any of the members of the Civil Service Commission maliciously intended to injure Janet Shawgo or Stanley Whisenhunt. Further, Chief Spradlin's actions were not retaliatory measures made in an attempt to silence Plaintiffs' criticisms by disciplining Plaintiffs. The Court therefore finds that Plaintiffs' First Amendment rights to freedom of speech were not violated.

13. The right to privacy is not unqualified. A government regulation may limit this right for a "compelling state interest". Roe v. Wade, 410 U.S. 113, 154, 93 S.Ct. 705, 35 L.Ed.2d 147 (1973). A state has more interest in regulating the activities of its employees than the activities of the population at large. Kelly v. Johnson, 425 U.S. 238, 245, 96 S.Ct. 1440, 47 L.Ed.2d 708 (1976). Because

of the compelling state interest in maintaining good order within the police department, the Court concludes that Plaintiffs' constitutional right to privacy has not been violated.

14. Any finding of fact that constitutes a conclusion of law shall be deemed a conclusion of law and any conclusion of law which constitutes a finding of fact shall be deemed a finding of fact.

15. Judgment should be entered that Plaintiffs take nothing and that the cause be dismissed on its merits.

ENTERED this 11th day of February, 1982.

/s/  
MARY LOU ROBINSON  
United States District  
Judge

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
AMARILLO DIVISION

-----  
FILED FEB 11, 1982  
-----

JANET SHAWGO and STANLEY	)	
WHISENHUNT,	)	
	)	
PLAINTIFFS,	)	
	)	
v.	)	CIVIL ACTION
	)	NO. CA-2-78-90
	)	
LEE SPRADLIN, Chief of	)	
Police, City of Amarillo,	)	
Texas, ET AL.,	)	
	)	
DEFENDANTS.	)	

JUDGMENT

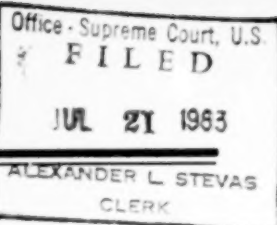
This action came on for trial before the Court, and the issues having been duly tried and a decision having been rendered, it is

ORDERED and ADJUDGED that Plaintiffs, Janet Shawgo and Stanley Whisenhunt, take nothing, that the action be dismissed on the merits, and that the defendants recover of the plaintiffs their costs of action.

ENTERED this 11th day of February, 1982.

/s/  
MARY LOU ROBINSON  
United States District  
Judge

82-2148



No. 82-.....

In The  
**Supreme Court**  
**of the United States**

October Term, 1982

JANET WHISENHUNT and  
STANLEY WHISENHUNT,

*Petitioners,*

vs.

LEE SPRADLIN, et al.,

*Respondent.*

*On Petition For Writ of Certiorari  
From The United States Court of Appeals  
For The Fifth Circuit*

**BRIEF OF RESPONDENTS IN OPPOSITION**

MERRIL NUNN

P. O. Box 1971  
Amarillo, Texas

JOE HARLAN

MAC W. HANCOCK III

GIBSON, OCHSNER & ADKINS

500 First National Bank Bldg.

Amarillo, Texas 79101

(806) 372-4271

*Counsel for Respondents*

## **TABLE OF CONTENTS**

	<b>Page</b>
<b>OPINIONS BELOW .....</b>	<b>1</b>
<b>JURISDICTION .....</b>	<b>2</b>
<b>STATUTES AND GENERAL RULES AND REGULATIONS OF THE AMARILLO POLICE DEPARTMENT INVOLVED .....</b>	<b>2</b>
<b>QUESTIONS PRESENTED FOR REVIEW .....</b>	<b>3</b>
<b>STATEMENT OF THE CASE .....</b>	<b>3</b>
<b>REASONS WHY THE WRIT SHOULD NOT BE GRANTED .....</b>	<b>5</b>
<b>CONCLUSION .....</b>	<b>13</b>
<b>CERTIFICATE OF SERVICE .....</b>	<b>15</b>



# Table of Authorities

Case	Page
Allen v. Herrera, 257 S.W.2d 753 (no hist.).....	7
Baker v. McCollan, 443 U.S. 137, 99 S.Ct. 2689, 61 L.Ed.2d 433.....	8
Bishop v. Wood, 426 U.S. 341, 96 S. Ct. 2074, 48 L.Ed.2d 684.....	9
Blair v. Robstown Independent School District, 556 F.2d 1331 (5th Cir. Tex.) .....	13
Board of Regents v. Roth, 408 U.S. 564, 92 S. Ct. 2701, 33 L.Ed.2d 548 .....	13
Bradt v. Smith, 634 F.2d 796 (5th Cir. Tex.).....	8
City of Amarillo v. Hancock, 239 S.W. 2d 788 (Tex. 1951).....	9
City of Austin v. Villegas, 603 S.W. 2d 282 (RNRE).....	8
City of Wichita Falls v. Harris, 532 S. W. 2d 653 (RNRE).....	7
Cook v. Houston Post, 616 F.2d 791 (5th Cir. Tex.) ...	12
Davis v. Vandiver, 494 F.2d 830 (5th Cir. Tex.) .....	13
Davis v. Williams, 617 F.2d 1100 (5th Cir. 1980).....	7
Duckett v. Civil Service Commission of the City of Houston, 598 S.W. 2d 640 (RNRE) .....	7
Fabio v. Civil Service Commission of the City of Philadelphia, 414 A.2d 82 (Pa. 1980).....	7
Falgoust v. United States Marshals Service, 539 F.Supp. 182 (USDC, Tex.) .....	8
Firemen's and Policemen's Civil Service Commis- sion v. Blanchard, 582 S.W. 2d 778 (Tex. 1979) ....	10
Harper v. Lindsay, 616 F.2d 849 (5th Cir. 1980) .....	7
Laje v. R. E. Thomason General Hospital, 564 F.2d 1159 (5th Cir. Tex.).....	13

# Table of Authorities

Case	Page
McDonald v. Miller, 596 F.2d 686 (5th Cir. 1979) .....	6
McMillan v. City of Hazlehurst, 620 F.2d 484 (5th Cir.).....	9
Parker v. Levy, 417 U.S. 733 (1974) .....	7
Parratt v. Taylor, 451 U.S. 527, 101 S.Ct. 1908, 68 L.Ed.2d 420.....	11, 13
Paul v. Davis, 424 U.S. 693, 96 S.Ct. 1155, 47 L.Ed.2d 405.....	11
Pope v. City of Dallas, 636 S.W.2d 244 (no hist.).....	10
Vick v. City of Waco, 614 S.W. 2d 861 (RNRE).....	8

No. 82-.....

---

In The  
**Supreme Court**  
**of the United States**

---

**October Term, 1982**

---

JANET WHISENHUNT and  
STANLEY WHISENHUNT,

*Petitioners,*

*vs.*

LEE SPRADLIN, et al.,

*Respondent.*

---

*On Petition For Writ of Certiorari  
From The United States Court of Appeals  
For The Fifth Circuit*

---

**BRIEF OF RESPONDENTS IN OPPOSITION**

---

**I.**

**OPINIONS BELOW**

The opinion of the 5th Circuit is reported at 701 F. 2d 470 (5th Cir. 1983). The unreported Judgment of the trial court and that court's Findings of Fact and Conclusions of Law are shown in the Appendix, *infra*, at pages A-1 through A-12.

## II. JURISDICTION

Jurisdiction is conferred on this Court by 28 U.S.C. § 1254(1) to review an order of the Court of Appeals entered on March 28, 1983.

## III. STATUTES AND GENERAL RULES AND REGULATIONS OF THE AMARILLO POLICE DEPARTMENT INVOLVED

42 U.S.C. § 1983:

"Every person who, under color of any statute, ordinance, regulation, custom, or usage, of any State or Territory or the District of Columbia, subjects, or causes to be subjected, any citizen of the United States or other person within the jurisdiction thereof to the deprivation of any rights, privileges, or immunities secured by the Constitution and laws, shall be liable to the party injured in an action at law, suit in equity, or other proper proceeding for redress. For the purposes of this section, any Act of Congress applicable exclusively to the District of Columbia shall be considered to be a statute of the District of Columbia."

General Rules, Rules and Regulations of the Amarillo Police Department:

"*Section 113, Part 8:* No member shall engage in any personal conduct or act which, if brought to the attention of the public, could result in justified unfavorable criticism of that member or the department. No member be [sic] involved personally, in disturbances or police incidents to his discredit.

"*Section 123:* Any member or employee of this department whose duties and responsibilities are not otherwise specifically prescribed in these rules and regulations shall be faithful in attendance to,

diligent and competent in the performance of such duties as are indicated by the title by which he is assigned."

#### IV.

#### QUESTIONS PRESENTED FOR REVIEW

1. May a chief of police impose sanctions on police officers for violating valid rules of the police department?

2. Did Petitioners have a property or liberty interest that was protected by the United States Constitution?

3. Have the Petitioners, who were given notice in writing stating the reasons that sanctions were being imposed; who were afforded a four-day hearing before the Amarillo Civil Service Commission, throughout which they were represented by attorneys of their choice; who were afforded a full, de novo trial before a United States District Judge; and who were granted oral argument before the 5th Circuit Court of Appeals, been afforded due process?

#### V.

#### STATEMENT OF THE CASE

Petitioners do not fully state the nature of the case in their brief, and therefore we make this statement:

One of the side effects of young ladies becoming police-women was that policemen with years of experience and rank, in this case a sergeant, and the female recruits, commenced pairing off, two-by-two, in a manner not grossly unlike what happened to those who occupied Noah's Ark, save and except that here only Homo sapiens were involved, and they all worked for the Ama-

Amarillo Police Department. This obviously caused problems affecting the efficiency of the police department (A-5), among which were these:

1. Reports had come to the chief from various sources and by rumor that three couples had paired off and were living together (A-2).

2. Wives of police officers were calling the chief complaining about the situation.

4. Dirty messages about the situation were being written on the walls of a restroom.

5. The chief had personally chastised one officer for this type of conduct.

6. The chief, through channels, had warned Whisenhunt, but the warning had been ignored.

7. A lieutenant filed a formal complaint with the chief complaining about an officer in his section engaged in this type of conduct.

The chief had to take action. At an earlier time, before the investigation which brought about the sanctions involved in this case, Chief Spradlin had ordered that an investigation be made. When it became known that such an investigation was underway, the activity either quieted down or those involved became more discreet. At any rate, the investigation ended. After that investigation ended, the activity again became active. At length, Captain Hill went to the chief and outlined the problems and suggested that something should be done. Chief Spradlin appointed a committee, headed by the chief of the detective division of the Amarillo Police Department, to find out what the facts were and report to him

(A-3). The committee investigated the four couples with respect to whom strong rumors of cohabitation were circulating. One of those couples was Sgt. Whisenhunt and Policewoman Shawgo. The investigating committee found as a fact and reported to the chief that Sgt. Whisenhunt and Policewoman Shawgo were cohabiting at an apartment on Virginia Street in Amarillo (A-3-4). Based upon that finding, the chief imposed sanctions, that is, each was given twelve days off duty without pay, and Sgt. Whisenhunt was demoted. Neither was fired. Later, each of them voluntarily submitted a resignation in writing (A-6).

The foregoing does not state all of the facts, but it is complete enough to give an accurate picture of what happened.

## VI.

### REASONS WHY THE WRIT SHOULD NOT BE GRANTED

1. **Chief Spradlin, Whose Responsibility It Was To See That The Police Department Functioned In An Efficient Manner, Had The Authority And Duty To Enforce Valid Rules Of The Amarillo Police Department.**

The trial court found:

A. Petitioners had knowledge of the relevant rules of the Amarillo Police Department (A-1-2).

B. Petitioners had knowledge of the police department rule which prohibited police officers from engaging in "conduct prejudicial to good order." (A-1-2).

C. The chief of police heard rumors from several

sources that Patrolwoman Shawgo and Sergeant Whisenhunt were living together (A-2).

D. The chief of police ordered an investigation to find out whether the rumors about Patrolwoman Shawgo and Sergeant Whisenhunt were true or untrue (A-2).

E. Based upon the report from the investigating committee, the chief of police served notice upon Patrolwoman Shawgo (A-3) and Sergeant Whisenhunt (A-3-4) that they had been cohabiting without being legally married, at 3807 Virginia Street, Apartment 20, Amarillo, Texas.

F. Petitioners requested and were granted a full and complete hearing before the Civil Service Commission of the City of Amarillo, a fair tribunal, which sustained the chief of police (A-5).

G. The ability of the Petitioners to perform their duties effectively was impaired by the publicity given the hearing which they requested (A-5).

H. Each of the Petitioners resigned (A-6).

**2. The Rules Which The Trial Court Found That Petitioners Had Violated Were Not Unconstitutionally Vague**

In *McDonald v. Miller*, 596 F.2d 686 (5th Cir. 1979), a fireman who was discharged filed suit contending that the regulation under which he was discharged was unconstitutionally vague. The regulation read:

"All Members shall:

"2. Refrain from committing any act which might bring discredit upon the Department or its members."



The district court's judgment was affirmed in a per curiam opinion from which we quote:

"The district court rejected McDonald's contention on the basis of the rule of *Parker v. Levy*, 417 U.S. 733, 756, 94 S. Ct. 2547, 2562, 41 L.Ed.2d 439 (1974) that 'One to whose conduct a statute clearly applies may not successfully challenge it for vagueness.'"

Additionally, rules in substantially the same language of the rule in question have withstood the attack of being unconstitutionally vague. *Davis v. Williams*, 617 F.2d 1100, 1104 (5th Cir. 1980), (conduct prejudicial to good order); *Harper v. Lindsay*, 616 F.2d 849, 857 (5th Cir. 1980), (to violate these regulations on the premises of the massage establishment); *Parker v. Levy*, 417 U.S. 733 (1974); *Fabio v. Civil Service Commission of the City of Philadelphia*, 414 A. 2d 82 (Pa. 1980).

The trial court found:

"10. The rules used for the disciplinary suspensions and demotion are not unconstitutionally vague or overbroad on their face."

"11. The rules in question were not unconstitutionally vague or overbroad as applied.

" An intimate relationship between an officer and subordinate is reasonably within the conduct proscribed by Section 113, Part 8, and Section 123 of the General Rules of the Rules and Regulations of the Amarillo Police Department, and Rule XIX, Section 108 of the Personnel Rules of the City of Amarillo."

The violation of these valid rules would have justified termination. *Allen v. Herrera*, 257 S.W. 2d 753 (no hist.); *City of Wichita Falls v. Harris*, 532 S.W. 2d 653 (RNRE); *Duckett v. Civil Service Commission of the City of Hous-*

ton, 598 S.W. 2d 640 (RNRE); *Vick v. City of Waco*, 614 S.W. 2d 861 (RNRE); *City of Austin v. Villegas*, 603 S.W. 2d 282 (RNRE).

The sanctions imposed by the chief of police were moderate indeed, taking into consideration that Sergeant Whisenhunt had been previously warned, but in any event, the court should not substitute its judgment for the judgment of the chief of police, whose responsibility it was to operate an efficient police department for the City of Amarillo. *Falgoust v. United States Marshals Service*, 539 F.Supp. 182 (USDC, Tex.).

**3. Petitioners Had No Property Or Liberty Interest Protected By The Constitution That Was Violated, And, Furthermore, They Have Been Afforded Due Process.**

In determining whether a Section 1983 cause of action has been alleged, the first question is whether the plaintiffs have been deprived of a right secured by the constitution.

*Baker v. McCollan*, 443 U.S. 137, 99 S.Ct. 2689, 61 L.Ed.2d 433, at 439:

"The first inquiry in any § 1983 suit, therefore, is whether the plaintiff has been deprived of a right, 'secured by the Constitution and laws.' If there has been no such deprivation, the state of mind of the defendant is wholly immaterial."

In *Bradt v. Smith*, 634 F.2d 796 (5th Cir., Tex.), at 799, the court held:

"In order to state a claim upon which relief can be granted under § 1983, the complaint must show the deprivation of a right that is secured by the Constitution and laws of the United States. *Martinez v.*

California, 444 U.S. 277, 283, 100 S.Ct. 553, 558, 62 L.Ed.2d 481 (1980); *Baker v. McCollan*, 443 U.S. 137, 139, 99 S.Ct. 2689, 61 L.Ed.2d 433 (1979)."

### **PETITIONERS HAD NO PROPERTY INTEREST**

An alleged property interest based upon a claim for continued employment must be based on a source independent of the Fourteenth Amendment to the United States Constitution.

*Bishop v. Wood*, 426 U.S. 341, 96 S.Ct. 2074, 48 L.Ed.2d 684, at 690:

"A property interest in employment can, of course, be created by ordinance, or by an implied contract. In either case, however, the sufficiency of the claim of entitlement must be decided by reference to state law."

In *McMillan v. City of Hazlehurst*, 620 F.2d 484, the 5th Circuit, applying Mississippi law, affirmed a judgment of dismissal in a suit filed by a former city police officer who claimed a property interest in his continued employment and that his summary termination violated due process. On page 485 the court says:

"In an action such as this, a protected property interest must be based upon a legitimate claim to continued employment under a source independent of the fourteenth amendment. *McDonald v. Mims*, 577 F.2d 951 (5th Cir. 1978). Such a source may be state law or a mutually explicit understanding between employer and employee. *Hennessey v. National Collegiate Athletic Association*, 564 F.2d 1136 (5th Cir. 1977)."

The Texas Civil Service Statute does not grant Sergeant Whisenhunt a right to remain a sergeant.

In *City of Amarillo v. Hancock*, 239 S.W. 2d 788 (Tex.

1951), Captain Hancock, upon the recommendation of the chief of the fire department, was demoted to driver. The trial court rendered judgment in favor of the plaintiff and this was affirmed by the Amarillo Court of Civil Appeals. The Supreme Court reversed and ordered that the case be dismissed for want of jurisdiction. We quote from page 791:

"So, in order to sustain the jurisdiction of the district court here, plaintiff must bring himself within the protection of the due process clause. To do that, the right affected adversely here by the action of this administrative body must be vested property right. Thus we are brought to the question:

"Does plaintiff have a vested property right in his captaincy in the Amarillo Fire Department?

"The answer is 'No.'"

And again from page 792:

"Since we have determined that the district court had no jurisdiction it becomes unnecessary for us to consider whether he was unjustly demoted. Accordingly, the judgments of the district court and the Court of Civil Appeals are reversed and it is ordered that the case be dismissed for want of jurisdiction."

See, also, *Pope v. City of Dallas*, 636 S.W. 2d 244 (no hist.).

Neither Petitioner has a right to appeal the twelve-day suspension without pay.

In *Firemen's and Policemen's Civil Service Commission v. Blanchard*, 582 S.W. 2d 778, 779 (Tex. 1979), the court held that there was no appeal from an order of the chief suspending two officers where the period of suspension was less than fifteen days.

## LIBERTY INTEREST

Petitioners have no Fourteenth Amendment cause of action upon the basis that their "liberty" interest has been violated.

In *Parratt v. Taylor*, 451 U.S. 527, 101 S. Ct. 1908, 68 L.Ed.2d 420, the plaintiff, a prisoner, who ordered hobby materials which came to the Nebraska prison where they were lost, sued the warden and other state employees in positions of considerable authority under Sec. 1983. The trial court sustained plaintiff's motion for summary judgment and this was affirmed by the circuit. The Supreme Court reversed, and in so doing based its holding upon principles heretofore briefed and urged in this case which include:

The Fourteenth Amendment does not protect against all deprivations against life, liberty, or property by the state but only against deprivations "without due process of law."

On page 430 the court says:

"Nothing in that amendment protects against all deprivations of life, liberty or property by the State. The Fourteenth Amendment protects only against deprivations 'without due process of law.'"

It is denied that Petitioners' reputations were damaged or that their rights of privacy were violated, but even if they had been, those rights are not protected by the constitution.

*Paul v. Davis*, 424 U. S. 693, 96 S. Ct. 1155, 47 L.Ed.2d 405, at 420:

" \* \* \* petitioners' defamatory publications, however seriously they may have harmed respondent's

reputation, did not deprive him of any 'liberty' or 'property' interests protected by the Due Process Clause."

And on pages 420 and 421 the court held that the plaintiff had no cause of action for "right of privacy."

In *Cook v. Houston Post*, 616 F.2d 791 (5th Cir., Tex.), a reporter for the newspaper, named Williams, wrote a series of stories about the alleged corruption in the Houston Fire Department with the result that Cook and others were indicted but subsequently acquitted. Cook then filed a lawsuit against the district attorney and the Houston Post. The suit was dismissed and this judgment of dismissal was affirmed by the 5th Circuit. The court held that the prosecutor had absolute immunity.

With respect to the portion of the case in which we are interested the court held that even though plaintiff had voluntarily resigned because of the bad publicity, he had no cause of action in federal court because reputation alone is not protected by the due process clause. We quote from page 794:

"The Fourteenth Amendment does not protect against all deprivations of liberty or property. It protects only against deprivations of liberty accomplished without due process of law. The Due Process Clause does not *ex proprio vigore* extend to a person a right to be free of injury merely because the state is characterized as the tortfeasor. The Constitution does not guarantee that only the guilty will be indicted and arrested. If it did, § 1983 would provide a cause of action for every defendant acquitted — indeed for every suspect arrested. *Baker v. McCollan*, 443 U.S. 137, 99 S. Ct. 2689, 61 L.Ed.2d 433 (1979). Plaintiffs were indicted by a grand jury and acquitted by a petit jury.

"Appellants' interest with reputation, false arrest, malicious prosecution, libel and slander are matters which the State protects by virtue of its tort law, providing a forum for those interests by means of damage actions."

Even assuming, but not agreeing, that Petitioners' "liberty" was violated, due process requires only that an individual whose good name, reputation, honor, or integrity has been impaired by governmental action be provided with an opportunity for a hearing to clear his name. *Board of Regents v. Roth*, 408 U.S. 564, 92 S.Ct. 2701, 33 L.Ed.2d 548.

#### PRE-SANCTION HEARING NOT REQUIRED

*Laje v. R. E. Thomason General Hospital*, 564 F.2d 1159 (5th Cir. Tex.), at 1162. The hearing does not have to be before sanctions. *Davis v. Vandiver*, 494 F.2d 830 (5th Cir., Tex.), at 833. *Blair v. Robstown Independent School District*, 556 F.2d 1331 (5th Cir., Tex.), at 1334; *Parratt v. Taylor*, 451 U.S. 527, 101 S. Ct. 1908, 68 L.Ed.2d 420.

#### CONCLUSION

This is not a case of constitutional dimensions. What happened is that two police officers violated published rules of the police department with which they were familiar. Sanctions were imposed. No property or liberty interest protected by the constitution is involved. They were granted a full and fair hearing before the civil service commission, and they lost there. Neither of them was entitled to an appeal from the suspension without pay sanction because it was for a period of less than 15 days. The trial court could and should have determined

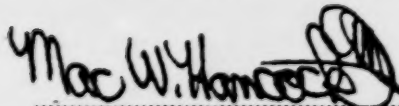


the question of Sergeant Whisenhunt's demotion by examining the report of the hearing before the civil service commission and determining whether the findings of that commission were supported by substantial evidence. Instead the Honorable Trial Court gave both parties a full trial, de novo, and they lost there. They appealed to the 5th Circuit which granted oral argument, and they lost there. The Supreme Court of the United States was not given jurisdiction for the purpose of affording the losing party a third trial. The Petition for Writ of Certiorari should be denied.

Respectfully submitted,

MERRIL NUNN  
P.O. Box 1971  
Amarillo, Texas

JOE HARLAN  
MAC W. HANCOCK III  
GIBSON, OSCHNER  
& ADKINS  
500 First National Bank  
Bldg.  
Amarillo, Texas 79101  
(806) 372-4271

A handwritten signature in black ink, appearing to read "Mac W. Hancock III", with a large, stylized flourish at the end.

.....  
Mac W. Hancock III

*Attorneys for Respondents*

July 20, 1983.



**CERTIFICATE OF SERVICE**

I, MAC W. HANCOCK III, declare and state:

That I am a member of the Bar of the Supreme Court of the United States. That I am not a party to the within action and my business address is 500 First National Bank Building, Amarillo, Texas, 79101. On July 20, 1983, I served the within Brief of Respondents on all parties involved in said action by directing Southwestern Law Press to place three copies thereof, with postage thereon fully prepaid, in the United States Mail at Dallas, Texas, addressed as follows:

Mr. James C. Harrington  
American Civil Liberties  
Foundation of Texas, Inc.  
600 West 7th Street  
Austin, Texas 78701

Mr. Selden B. Hale  
Attorney at Law  
310 West 6th Street  
Amarillo, Texas 79101

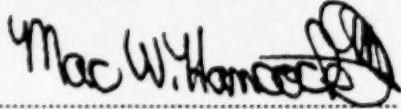
Mr. Leon Friedman  
Hofstra Law School  
Hempstead, New York 11550

Mr. Charles S. Sims  
Mr. Burt Neuborne  
American Civil Liberties Union  
Foundation  
132 West 43rd Street  
New York, New York 10036

United States Court of Appeals  
Fifth Circuit  
Office of the Clerk  
600 Camp Street  
New Orleans, Louisiana 70130

I declare, under penalty of perjury, that the foregoing  
is true and correct.

Executed July 20, 1983, at Amarillo, Texas.

A handwritten signature in black ink, appearing to read "Mac W. Hancock III". The signature is written in a cursive, somewhat stylized font. The "M" is large and loops around the "ac". The "W" is also stylized. The "Hancock" part is written in a more standard cursive. The "III" is written as three vertical lines. The signature is written over a dotted line.

Mac W. Hancock III

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
AMARILLO DIVISION

---

FILED FEB. 11, 1982

---

Civil Action  
No. CA-2-78-90

Janet Shawgo and Stanley Whisenhunt,  
*Plaintiffs,*  
*v.*

Lee Spradlin, Chief of Police,  
City of Amarillo, Texas, ET AL.,  
*Defendants.*

FINDINGS OF FACT AND CONCLUSION OF LAW

This action came on for trial by the Court without a jury in the United States District Court for the Northern District of Texas, Amarillo Division. After considering the evidence, pleadings and arguments of counsel, the Court does hereby make its Findings of Fact and Conclusions of Law.

FINDINGS OF FACT

1. At the time of their suspensions, Plaintiff Janet Shawgo was a patrolman with the Amarillo Police Department and Plaintiff Stanley Whisenhunt was a sergeant with the Amarillo Police Department. Both were non-probationary employees.

2. During their employment with the Amarillo Police Department, Plaintiffs had knowledge of the following

General Rules of the Rules and Regulations of the Amarillo Police Department:

- (1) Section 113, Part 8: "No member shall engage in any personal conduct or act which, if brought to the attention of the public, could result in justified unfavorable criticism of that member or the department. No member be (sic) involved personally in disturbances or police incidents to his discredit."
- (2) Section 123: "Any member or employee of this department whose duties and responsibilities are not otherwise specifically prescribed in these rules and regulations shall be faithful in attendance to, diligent and competent in the performance of such duties as are indicated by the title by which he is assigned."

3. Also, during their employment with the Amarillo Police Department, Plaintiffs had knowledge of Rule XIX, Section 108, of the Personnel Rules of the City of Amarillo, which are the Civil Service Rules adopted by the Civil Service Commission of the City of Amarillo pursuant to Tex. Rev. Civ. Stat. Ann. Art. 1269m, Section 5. Such Rule proscribed "conduct prejudicial to good order."

4. Defendant, Amarillo Chief of Police, Lee Spradlin, heard rumors from several sources in the fall of 1977 that Patrolman Janet Shawgo and Sergeant Stanley Whisenhunt were living together.

5. On or about November 28, 1977, Chief Spradlin ordered an investigation to discover whether the rumors about Patrolman Shawgo and Sergeant Whisenhunt were true or untrue.

6. The detailed report of the investigation was delivered to Chief Spradlin on or about December 19, 1977.

The report showed the times and dates of Plaintiffs' entrances into and exits from Stanley Whisenhunt's apartment from December 1 to December 17, 1977. It showed that Janet Shawgo spent substantial time in Stanley Whisenhunt's apartment and that they spent the night together on a number of occasions.

7. Chief Spradlin recommended discipline against Janet Shawgo and Stanley Whisenhunt based on the investigation report.

8. Janet Shawgo was suspended without pay from the Amarillo Police Department for a twelve-day period from December 22, 1977, to January 3, 1978, on the grounds that she violated the General Rules of the Rules and Regulations of the Amarillo Police Department, Section 113, Part 8.

9. Janet Shawgo was given notice of the reason for her suspension as follows:

"During the past thirty (30) days, you have been cohabiting with Sgt. Stanley T. Whisenhunt of the Amarillo Police Department without being legally married to him, at 3807 Virginia, Apartment 20, Amarillo Texas."

10. Stanley Whisenhunt was suspended without pay from the Amarillo Police Department for a twelve-day period from December 20, 1977, to January 1, 1978, on the grounds that he violated the General Rules of the Rules and Regulations of the Amarillo Police Department, Section 113, Part 8, and Section 123, and the Personnel Rules of the City of Amarillo, Rule XIX, Section 108. Chief Spradlin recommended that Stanely Whisenhunt be demoted from the rank of Sergeant to the rank of Patrolman.

11. Stanley Whisenhunt was given notice of the reasons for the proposed suspension as follows:

"2. For violation of Section 113, Part 8, General Rules, of the Rules and Regulations of the Amarillo Police Department:

" From November 20, 1977, through December 19, 1977, you have been cohabiting with Officer Janet Shawgo of the Amarillo Police Department without being legally married to her, at 3807 Virginia, Apt. 20, Amarillo, Texas."

"b. For violation of Section 123, General Rules, of the Rules and Regulations of the Amarillo Police Department:

" Specifically, you have violated the principles of sound management and supervision of subordinates by establishing a relationship with two subordinates which would likely make you hesitate to take full disciplinary action against such subordinates should you be required to do so by the Rules and Regulations of the Amarillo Police Department."

" For the past thirty (30) days you have been cohabiting with Officer Janet Shawgo of the Amarillo Police Department as more fully set out in Paragraph A above, which is incorporated herein by reference. Officer Shawgo is subordinated to you in rank. Furthermore, for at least the past thirty (30) days you have been sharing your apartment with Officer John D. Edwards of the Amarillo Police Department, who is also subordinate to you in rank, for the purpose of defraying rent and expenses. You are, therefore, relying on Officer Edwards for financial assistance in the sense of sharing apartment expenses, and you have also given Officer Edwards an opportunity to become acquainted with your personal habits and activities."

"c. For violation of Rule XIX, Section 108, of the

Personnel Rules of the City of Amarillo:

- " For at least the past thirty (30) days, you have been cohabiting with Officer Janet Shawgo of the Amarillo Police Department without being legally married to her and have been sharing an apartment and apartment expenses with Officer John D. Edwards of the Amarillo Police Department, as more fully set out in Paragraph I above, the charges and allegations of which are incorporated herein for all purposes."

12. Janet Shawgo and Stanley Whisenhunt requested and were granted a hearing before the Civil Service Commission of the City of Amarillo.

13. The Plaintiffs were given a full and complete hearing before a fair tribunal. The Civil Service Commission of the City of Amarillo found that the disciplinary orders and the recommendations of Chief Spradlin should be sustained. Following the hearing, Stanley Whisenhunt was demoted.

14. Each Defendant acted pursuant to a good faith belief based upon reasonable grounds that they were acting in accordance with and in compliance with all laws, both state and federal.

15. Stanley Whisenhunt did not appeal his demotion to the District Court of the State of Texas.

16. Stanley Whisenhunt's and Janet Shawgo's ability to perform effectively was impaired by the publicity given the hearing requested by them. Janet Shawgo encountered hostility from the public in the course of her duties as a patrolman.

17. After his demotion, Stanley Whisenhunt was assigned duties which did not require contact with the

men he had previously supervised.

18. Stanley Whisenhunt, in writing, resigned, effective February 1, 1978.

19. Janet Shawgo, in writing, resigned, effective March 8, 1978.

20. Plaintiffs were not constructively discharged.

21. Stanley Whisenhunt was not disciplined or discharged because of his criticism of the Amarillo Police Department.

### CONCLUSIONS OF LAW

1. Jurisdiction is conferred upon this Court by 28 U.S.C. § 1343 and 28 U.S.C. § 1331.

2. The Defendants have the burden of pleading "good faith" as an affirmative defense. *Gomez v. Toledo*, 446 U.S. 635, 100 S. Ct. 1920, 64 L. Ed. 2d 572 (1980). They have met the requirements of *Gomez* by pleading immunity and by asserting a good faith defense for all defendants in the Pre-Trial Order signed by all parties and approved by the Court. Defendants are entitled to a qualified good faith immunity from liability in their individual capacities. *Familias Unidas v. Briscoe*, 619 F. 2d 391 (5th Cir. 1980).

3. A local governmental entity sued under 42 U.S.C. § 1983 may not assert a good faith defense. *Owen v. City of Independence*, 445 U.S. 622, 100 S. Ct. 1398, 63 L. Ed. 2d 673 (1980). Good faith is not a defense to a suit against a party in his official capacity because such suits are actions against the governmental entity of which the official is an agent. *Familias Unidas*, supra;



*Universal Amusement Co., Inc. v. Hofheinz*, 646 F. 2d 996 (5th Cir, 1981). The City of Amarillo is liable for wrongful actions by Defendants in their official capacity. See, *Van Ooteghem v. Gray*, 628 F. 2d 488 (5th Cir. 1980).

4. A property right in employment is protected by the Fourteenth Amendment due process requirements. Whether a property right exists is determined by State law. *Bishop v. Wood*, 426 U.S. 341, 344, 96 S. Ct. 2074, 48 L. Ed. 2d 684 (1976); *Board of Regents v. Roth*, 408 U.S. 564, 577, 92 S. Ct. 2701, 33 L. Ed. 2d 548 (1972). The relevant state statute is Tex. Rev. Civ. Stat. Ann., Art. 1269m, as it was in effect at the time in question. The statute has since been amended.

5. The Firemen's and Policemen's Civil Service Act did not authorize an appeal to the Courts from disciplinary suspensions which did not exceed fifteen (15) days duration. *Firemen's and Policemen's Civil Service Commission of the City of Fort Worth v. Blanchard*, 582 S. W. 2d 778 (Tex. 1979). The orders of disciplinary suspension were final, subject only to constitutional challenges. *City of Amarillo v. Hancock*, 239 S. W. 2d 788 (Tex. 1951).

6. The Firemen's and Policemen's Civil Service Law, Tex. Rev. Civ. Stat. Ann. Art. 1269m, Section 20, permitted disciplinary suspensions of police officers by the head of the police department for reasonable periods, not to exceed fifteen days. The Statute provided that following notice by the department head to the Civil Service Commission within one hundred twenty (120) hours, the Civil Service Commission shall have the "power to investigate and to determine whether just cause exists

therefor," and may reverse the order of the department head.

The Court concludes that the temporary suspensions of the Plaintiffs for less than fifteen (15) days did not constitute deprivation of a *property interest protected by the Fourteenth Amendment to the United States Constitution*. *Fox v. Carr*, 552 S. W. 2d 885 (Tex. Civ. App. — Texarkana 1977, no writ history).

7. The Firemen's and Policemen's Civil Service Act, Tex. Rev. Civ. Stat. Ann. Art. 1269m, Section 18, authorized an appeal to State District Court from an order of demotion rendered by the Civil Service Commission. Plaintiff Stanley Whisenhunt failed to appeal the order of demotion to District Court. He cannot now challenge the merits of the Civil Service Commission order in Federal Court. This Court has jurisdiction only to consider the constitutional challenges to the demotion order.

8. The Firemen's and Policemen's Civil Service Law, Tex. Rev. Civ. Stat. Ann. Art. 1969m, Section 19, permitted demotions of a police officer by the head of the Police Department following a full and complete hearing before the Civil Service Commission. Art. 1269m, Section 19 provided in part:

"If . . . said Commission feels that probably (sic) cause exists for said demotion, they shall give such employee ten (10) days advance written notice to appear before them at a time and place specified in said written notice to the employee, and said employee shall have the right to a full and complete public hearing upon such proposed demotion. The Commission shall not demote any employee without such hearing."

The Texas Supreme Court in *The City of Amarillo v.*

*Hancock*, supra, held that a demotion under Art. 1269m, Section 19, is not a deprivation of a property interest protected by the due process requirements of the Fourteenth Amendment. This Court will nevertheless consider whether Stanley Whisenhunt was granted due process in his demotion.

9. Where an employee has a property right in employment, the due process clause of the Fourteenth Amendment requires written notice of the reasons for termination of employment prior to actual termination so that the employee is given an effective opportunity to rebut those reasons at a fair and impartial hearing. *Goldberg v. Kelly*, 397 U.S. 254, 90 S. Ct. 1011, 25 L. Ed. 2d 287 (1970); *Thurston v. Decle*, 531 F. 2d 1264 (5th Cir. 1976). The Court concludes that the requirements of Art. 1269m, Section 19, were met, and that the hearing requirements of the due process clause of the Fourteenth Amendment were met with regard to the demotion of Stanley Whisenhunt.

10. The rules used for the disciplinary suspensions and demotion are not unconstitutionally vague or overbroad on their face.

The void for vagueness doctrine incorporates the due process notions of fair notice or warning. *Papachristou v. Jacksonville*, 405 U.S. 156, 92 S. Ct. 839, 31 L. Ed. 2d 110 (1972). A void for vagueness challenge involves consideration of both the essential fairness of the law and the impracticability of drafting the legislation with specificity. *Colton v. Kentucky*, 407 U.S. 104, 92 S. Ct. 1953, 32 L. Ed. 2d 584 (1972); *Arnett v. Kennedy*, 416 U.S. 134, 94 S. Ct. 1633, 40 L. Ed. 2d 15 (1974). When it is not feas-

ible for lawmakers to spell out in detail all prohibited conduct, a "catch-all" clause is appropriate, and not impermissibly vague or overbroad on its face. As a result, lawmakers need not choose between a detailed code or no code at all. *Arnett, supra*. The Court concludes that Section 113, Part 8 and Section 123 of the General Rules of the Rules and Regulations of the Amarillo Police Department and Rule XIX, Section 108 of the Personnel Rules of the City of Amarillo are not unconstitutionally vague or overbroad on their face.

11. The rules in question were not unconstitutionally vague or overbroad as applied.

An intimate relationship between an officer and subordinate is reasonably within the conduct proscribed by Section 113, Part 8, and Section 123 of the General Rules of the Rules and Regulations of the Amarillo Police Department, and Rule XIX, Section 108 of the Personnel Rules of the City of Amarillo.

12. Neither Chief Spradlin nor any of the members of the Civil Service Commission maliciously intended to injure Janet Shawgo or Stanley Whisenhunt. Further, Chief Spradlin's actions were not retaliatory measures made in an attempt to silence Plaintiffs' criticisms by disciplining Plaintiffs. The Court therefore finds that Plaintiffs' First Amendment rights to freedom of speech were not violated.

13. The right to privacy is not unqualified. A government regulation may limit this right for a "compelling state interest." *Roe v. Wade*, 410 U.S. 113, 154, 93 S. Ct. 705, 35 L. Ed. 2d 147 (1973). A state has more interest in regulating the activities of its employees than the activi-

ties of the population at large. *Kelly v. Johnson*, 425 U.S. 238, 245, 96 S. Ct. 1440, 47 L. Ed. 2d 708 (1976). Because of the compelling state interest in maintaining good order within the police department, the Court concludes that Plaintiffs' constitutional right to privacy has not been violated.

14. Any finding of fact that constitutes a conclusion of law shall be deemed a conclusion of law and any conclusion of law which constitutes a finding of fact shall be deemed a finding of fact.

15. Judgment should be entered that Plaintiffs take nothing and that the cause be dismissed on its merits.

ENTERED this 11th day of February, 1982.

/s/

-----  
MARY LOU ROBINSON  
United States District Judge

IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
AMARILLO DIVISION

---

FILED FEB. 11, 1982

---

Civil Action  
No. CA-2-78-90

Janet Shawgo and Stanley Whisenhunt,  
*Plaintiffs,*

*v.*

Lee Spradlin, Chief of Police,  
City of Amarillo, Texas, ET AL.,  
*Defendants.*

JUDGMENT

This action came on for trial before the Court and the issues having been duly tried and a decision having been rendered, it is

ORDERED AND ADJUDGED that Plaintiffs, Janet Shawgo and Stanley Whisenhunt, take nothing, that the action be dismissed on the merits, and that the defendants recover of the plaintiffs their costs of action.

ENTERED this 11th day of February, 1982.

/s/

---

MARY LOU ROBINSON  
United States District Judge